

RECOVERY OF AN ALPINE *BOMBUS* COMMUNITY FOLLOWING DROUGHT-  
MEDIATED POPULATION CONTRACTIONS

A Thesis  
by  
LEIGH RIMMER

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APPROVED BY:

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Jennifer Geib  
Chairperson, Thesis Committee

---

Matthew Estep  
Member, Thesis Committee

---

Ray Williams  
Member, Thesis Committee

---

Sue Edwards  
Chairperson, Department of Biology

---

Max C. Poole, Ph.D.  
Dean, Cratis Williams Graduate School

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**Abstract**

RECOVERY OF AN ALPINE *BOMBUS* COMMUNITY FOLLOWING DROUGHT-MEDIATED POPULATION CONTRACTIONS (AUGUST 2015)

Leigh Rimmer  
B.S., Appalachian State University  
M.S., Appalachian State University

Chairperson: Jennifer C. Geib

Worldwide pollinator decline has increased the urgency of assessing native pollinator abundance and genetic fitness of existing populations (Darvill et al. 2006, Ellis et al. 2006, Goulson et al. 2008, 2015, Geib et al. 2015). In 2012, an extreme and persistent drought in the intermountain western US caused severe population contractions within a *Bombus* (Order: Hymenoptera, Family: Apidae) community at Pennsylvania Mountain Natural Area, Park County, Colorado. There was a 99% decrease in overall forager abundance compared to previous years, and no reproductives were seen during the sampling season. The severe population contractions resulting from the drought served as a natural opportunity to investigate how bumble bees can recover from catastrophic events. This study aimed to 1) measure the post-drought recovery of the alpine *Bombus* community and 2) measure the “effective population size” and search for evidence of a corresponding genetic bottleneck in a historically dominant alpine bumble bee, *Bombus balteatus*.

21 I conducted field samples to collect all *Bombus* foragers present at the peak of the late  
22 flowering/foraging season in 2013. Samples were used conducted comparisons of 1) the  
23 overall size of the *Bombus* community, 2) relative species abundances, 3) relative  
24 abundances of ecologically relevant groupings of species (alpine vs. recently invading  
25 subalpine *Bombus* and long vs. medium, and short-tongued bees), 4) mean tongue length of  
26 the *Bombus* community, and 5) measures of species diversity or richness. Statistical analysis  
27 of these measures revealed that the community is relatively unchanged from pre-drought  
28 estimates. The 2013-year yielded the highest rate of forager capture, no change in overall  
29 species or ecologically grouped species abundance, and no change in frequency of tongue  
30 length or mean tongue length. Furthermore, 2013 had higher levels of species diversity and  
31 richness than any other pre-drought year. These observations suggest that alpine bees may  
32 have mechanisms to recovery quickly from severe population contractions and that there may  
33 be nearby source populations responsible for replenishing the community.

34 The second goal of this study was to obtain information from genotypes from *Bombus*  
35 *balteatus* individuals to 1) document size of breeding population (“effective population size,”  
36 or  $N_e$ ) and 2) identify any evidence of a genetic bottleneck after an observed population  
37 bottleneck. PCR amplification of 9 microsatellite loci provided genotypic data for the 2013  
38 population, and pre-drought genetic information from 2008 served as a comparison.  
39 Estimates of  $N_e$  were made using COLONY 2.0 software by using genotypes to assign  
40 sibships. Genotypes were also required to conduct three different bottleneck tests: the  $M$ -  
41 ratio, heterozygosity excess, and mode shift.  $N_e$  estimates did not differ significantly from  
42 pre-drought estimates and were well above predicted levels. None of the bottleneck tests  
43 revealed any evidence that a genetic bottleneck persisted in the 2013 *Bombus balteatus*

44 population. This outcome suggests that immigration and dispersal to high alpine  
45 mountaintops rates are high, and that these mountaintops may be less isolated than previously  
46 thought.

## Acknowledgments

47

48

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50 generosity, and wisdom. I thank Dr. Estep for teaching me new techniques, the use of his  
51 molecular lab at ASU, and for spending countless hours to help me troubleshoot my  
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## Chapter 1

### GENERAL INTRODUCTION

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Plant-pollinator mutualisms are important ecosystem relationships in angiosperm communities (Allen-Wardell et al. 1998, Kearns et al. 1998, Ghazoul 2005), where both the pollinator and the plant receive benefits to varying degrees (Stanton 2003). Pollinators benefit by receiving nutrient rewards in the form of pollen or nectar, and plants benefit by receiving aid in sexual reproduction via mechanical movement of their pollen to another individual, or the receipt of pollen themselves (Lautenbach et al. 2012). Negative impacts on these relationships are of particular concern because of evidence of worldwide declines in pollinator abundance (Goulson et al. 2015) which may endanger pollinator-dependent plants (Klein et al. 2003, Inouye 2008, Gong and Huang 2011).

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While declines of managed honeybees have gained the most attention (Goulson et al. 2015), wild bees, including bumble bees, have been sparsely studied worldwide, although monitoring efforts have intensified (e.g., [pollinatorwatch.org](http://pollinatorwatch.org) and [bumblebeewatch.org](http://bumblebeewatch.org)). European bumble bees have experienced significant range declines, localized extirpation, and four documented extinctions across the continent (Kosior et al. 2007, Goulson et al. 2008). South American bees have faced invasions from North American bumble bees, which has caused native pollinator species to decline in turn (Schmid-Hempel et al. 2014). In North America, two wide-spread and historically abundant bumble bees, *Bombus terricola* and *B. occidentalis* have decreased severely since the 1990s (Williams et al. 2009). The Northern

124 California bumble bee *B. franklini* has not been reported seen since 2006, and is thought to  
125 be extinct. A recent study addressing native bumble bee declines in Illinois has found four  
126 species extirpated from the region and substantial declines in overall bumble bee species  
127 diversity (Grixti et al. 2009). Despite the limited amount of data currently available for just a  
128 few species of North American bees, the predictions drawn from such research forecast a  
129 troubling future for the many wild bumble bee populations that have not yet been surveyed.

130 Economically, pollinator decline can have huge implications for the agricultural  
131 industry, as pollinators are estimated to contribute an estimated \$14 billion dollars in services  
132 to crops each year and are responsible for pollinating 75% of all food crops worldwide  
133 (Goulson et al. 2015). Evidence linking pollinator decline and lowered species diversity to  
134 diminished crop yields has already emerged (Grixti et al. 2009), as has relationships to loss  
135 of pollinator species diversity (Klein et al. 2003). Not only will this breakdown of  
136 mutualisms cause economic problems, but a lack of pollination services will reduce the  
137 amount of available outcross pollen for plants, and diversity may suffer (Memmott et al.  
138 2004). Smaller populations of bees will also have smaller pools of available genetic diversity,  
139 which causes lowered genetic fitness for colonies and inhibit the ability for colonies to fight  
140 off parasites and disease (Liersch and Schmid-hempel 1998, Gillespie 2010).

141 There are several identified causes of bumble bee decline. Habitat loss is responsible  
142 for creating a cascade of problems for native pollinators including decreasing availability of  
143 nest sites, restricting floral resources, and creating fragmented subpopulations that may  
144 become isolated over time and restrict gene flow (Ellis et al. 2006). Competition from non-  
145 native bees, such as the introduction of *Apis mellifera* from Europe to North America, can  
146 displace and outcompete native pollinators, especially when there are no parasites or diseases

147 in the new region that can limit population sizes or rates of expansion (Goulson 2003,  
148 Thomson 2004, Goulson et al. 2005). Parasites, whether native or transported from foreign  
149 regions, are becoming a pressing concern for bumble bees. As commercial colony production  
150 expands, prevalence of parasites such as *Nosema bombi*, *N. ceranae*, and *Apicystis bombi* can  
151 infect individuals more readily and individuals mingling with wild bees can introduce the  
152 parasites to non-managed colonies (Graystock et al. 2013). Pesticides, especially  
153 neonicotinoids, are suspected in the decline of managed and wild bee populations within  
154 industrial agriculture landscapes (Goulson et al. 2005).

155         Unfortunately, global climate change (especially higher temperatures and changes in  
156 precipitation) may serve to exacerbate many of the problems pollinators are facing today  
157 (Goulson et al. 2015). Changing environmental conditions can cause rapid changes in  
158 community structure and composition and is expected to drive species range shifts upwards  
159 in latitude and elevation. Some preliminary evidence already exists for species range shifts  
160 caused by changing temperatures (Williams et al. 2009) and non-native competitive species  
161 and invasive parasites may expand their range (Walther et al. 2002, Le Conte and Navajas  
162 2008). Flower phenology may begin to mismatch with pollinator emergence, leading to  
163 breakdowns in mutualisms (Parmesan 2006, Memmott et al. 2007, Inouye 2008, Goulson et  
164 al. 2015).

165         Predictions for global climate change include a rise in average global temperature of  
166 at least 2°F in the next decade (up to 11.5°F by 2100) (USGCRP 2009). Northern hemisphere  
167 snow cover is expected to decrease by 15% by 2100, and snow accumulation will begin later  
168 and melt earlier (National Research Council [NRC] 2011). Extreme weather events may  
169 become more common, with higher winds, most intense precipitation events, and more

170 frequent and prolonged droughts, especially in the American West (Harrison 2000, Rasmont  
171 and Iserbyt 2012).

172 In the US, the Intermountain West has already experienced significant impacts from  
173 climate change. The region has seen above average yearly temperature increases of 2.5°F and  
174 in every season, daily minimum temperatures have warmed more than daily maximums  
175 (Childress et al. 2015). Since 2000, average snowpack measured by April 1st has declined  
176 substantially, and is especially pronounced in the Southern Rocky Mountains below 45  
177 degrees latitude. Furthermore, snowmelt and peak runoff has occurred an average of one to  
178 four weeks ahead of usual, which may be contributing to the tendency of more frequent soil  
179 moisture droughts also seen in the area (Childress et al. 2015).

180 Intermountain West predictions for mid-century forecast worsening conditions.  
181 Temperatures are projected to increase by an average of 5.5°F, and the early melting of  
182 winter snowpack is expected to advance the spring runoff date (when melting usually begins)  
183 by one to three weeks. Winter precipitation is more likely to fall in the form of rain rather  
184 than snow (McCabe and Wolock 2010), and snowpack is expected to dissipate as early as  
185 April 1<sup>st</sup>. In concordance with worldwide predictions for climate change, this region will  
186 experience an increase in number and duration of severe heat waves, drought, and wildfire  
187 (Childress et al. 2015). It is currently unknown how pollinator populations and communities  
188 will fare under extreme drought conditions.

189 My thesis research takes advantage of a natural opportunity to investigate  
190 implications for pollinators from a particularly intense drought in the Western US in 2012.  
191 The context of the study is a native alpine *Bombus* community situated in the Colorado  
192 Rocky Mountains, at the Pennsylvania Mountain Natural Area (PMNA), Mosquito Range,

193 Park County. This community was the subject of considerable study in the late 1970s and  
194 has been monitored semiannually since 2008.

195         During 2012, a severe drought struck midway though the water year (measured  
196 October through September) and was classified as “extreme” drought by June of that year  
197 (droughtmonitor.unl.edu). The early spring months of March – May constituted the second  
198 warmest spring in Colorado in the past 118 years and this early warming accelerated the  
199 early melting of the already-low snowpack by 4-8 weeks ahead of average. This caused  
200 excessive transpiration from soil and vegetation, and more importantly, the loss of the snow  
201 responsible for insulating overwintering bumble bee queens (Lukas and Darby 2012).  
202 Coinciding with extremely early flowering and senescence of the plant community in 2012  
203 (average bloom time was 45% shorter than normal; Franklin 2014), significant reductions in  
204 foraging bumble bee densities were observed compared to previous years (Fig. 1), and no  
205 *Bombus* reproductives were observed at the end of the growing season. The mountainous  
206 terrain and potential geographic isolation of these populations, along with the *Bombus* life  
207 history strategy of re-establishing populations each season from foundress queens of the  
208 previous year predicted that carry-over effects would be observed in 2013.

209         Chapter two outlines my first study documenting the recovery of the *Bombus*  
210 community by quantifying species composition and relative abundances of *Bombus* foragers  
211 one generation following the drought-mediated population contractions. Foragers are  
212 important to the floral community in that they provide direct pollination services and increase  
213 plant fecundity for obligate outcrossing plants. Forager samples were also used to estimate  
214 the average pollinator tongue lengths within the bumble bee community because pollinators  
215 partition themselves among the community based on tongue length and corresponding flower

216 corolla tube lengths (Stang et al. 2006). I compared the diversity and richness of species in  
217 the years preceding, during, and following the drought, and compared post-drought  
218 measures to recent data to determine any summary changes resulting from the severe  
219 population reductions during the drought year.

220 Chapter three focuses on effects of demographic contraction on population genetics  
221 of one of two historically dominant alpine *Bombus* species, *Bombus balteatus*. *Bombus*  
222 *balteatus* is the only long tongue bumble bee in the alpine tundra and is important for the  
223 pollination of many alpine plant species; especially those that have long corolla length tubes.  
224 Unfortunately, worldwide declines of pollinators are impacting long-tongue species  
225 especially hard due to the loss of varied floral resources (Goulson et al. 2008). I sampled  
226 DNA from foraging workers and used molecular genetics techniques to examine effective  
227 population sizes pre- and post-drought and test for any genetic consequences that would be  
228 predicted following severe population reductions, such as loss of heterozygosity (bottleneck  
229 effects), that could impact the health and future persistence of these populations.

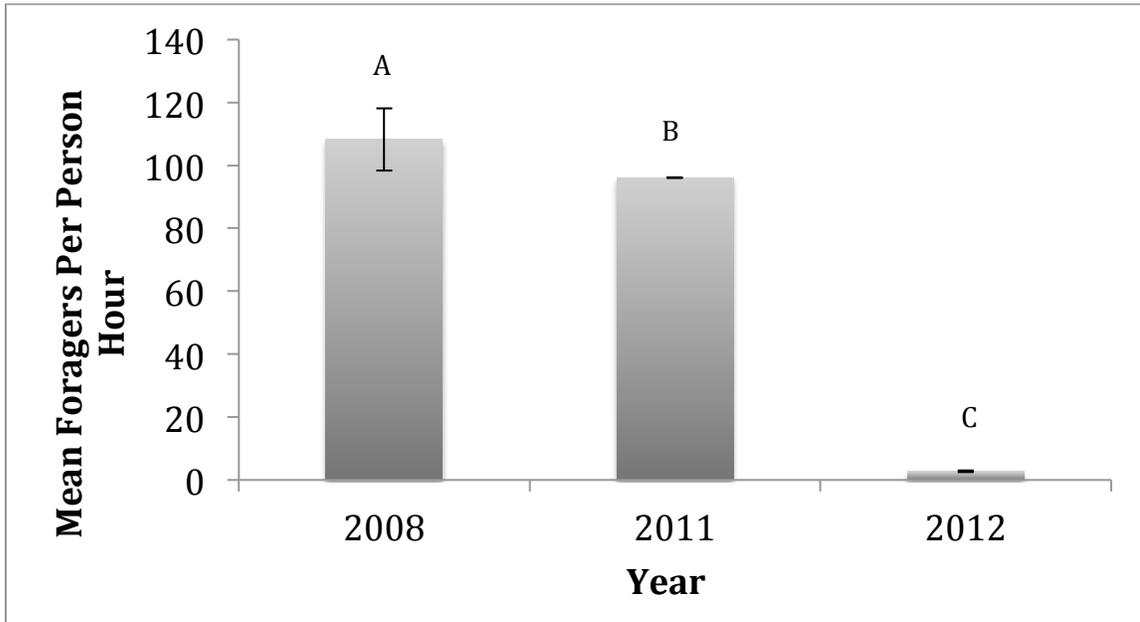
230 This study aims to take advantage of this natural opportunity to observe how alpine  
231 pollinators will be able recover from an extreme weather event that is expected to become  
232 more common and prolonged in the future. It can provide insights into whether instantaneous  
233 climactic events may work to facilitate shifts in relative abundances and diversity in  
234 pollinator communities. Molecular analyses should set the stage for future research into  
235 landscapes factors that facilitate or resist gene flow among pollinator populations.

236

### Figure Legends

237 **Figure 1:** Capture rate of foraging worker bumble bees, as a proxy for absolute abundance,  
238 during semi-annual surveys at Pennsylvania Mountain, Park County, CO. Columns represent  
239 means of sites sampled each year ( $n = 4, 2,$  and  $4$  for 2008, 2011, and 2012, respectively).  
240 Error bars are standard error. (Geib and Galen, *unpublished data*).

241 **Figure 1.**



242

243

## Chapter 2

244

### ABSTRACT

245

#### RECOVERY OF ALPINE BUMBLE BEE COMMUNITY FOLLOWING DROUGHT-MEDIATED POPULATION CONTRACTIONS

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As pollinator populations continue to decline worldwide, it is important to be able to estimate

249

how populations are able to recover from stochastic declines in population size due to severe

250

weather events, which are expected to increase in frequency. The availability of pollinators is

251

critical for host plants dependent on these mutualistic relationships for sexual reproduction.

252

In 2012, an extreme drought swept the intermountain western US and caused a 99%

253

reduction in overall *Bombus* forager abundance on Pennsylvania Mountain, Park County,

254

Colorado. This study conducts surveys of the post-drought *Bombus* community to make

255

measurements of the ability for pollinators to recover from significant population declines

256

and compares it to *Bombus* community data available from pre-drought years at this site. I

257

conducted comparisons of 1) the overall size of the *Bombus* community, 2) relative species

258

abundances, 3) relative abundances of ecologically relevant groupings of species (alpine vs.

259

recently invading subalpine *Bombus* and long vs. medium, and short-tongued bees), 4) mean

260

tongue length of the *Bombus* community, 5) measures of species diversity and richness. I

261

hypothesized that the severity of the population decline would cause slow recovery of overall

262

abundance, and that relative abundances of alpine species compared to invading non-alpine

263

species would be low. I also expected lower levels of diversity and richness under the



287 communities long-term (Goulson et al. 2008, Grixti et al. 2009, Potts et al. 2010, Rasmont  
288 and Iserbyt 2012).

289         Severe population reductions have the potential to trigger a cascade of adverse  
290 effects. In bumble bees, which are eusocial pollinators, reduction of colony numbers can  
291 reduce the overall fitness of the species or population (Allen-Wardell et al. 1998, Bouzat et  
292 al. 1998, Darvill et al. 2006, Osborne et al. 2007, Whitehorn et al. 2009), decrease ability to  
293 fight off parasites and disease (Gillespie 2010), and lower genetic variability in future  
294 colonies (Ellis et al. 2006), further increasing the risk of local extinctions.

295         The implications of pollinator loss span beyond the pollinators themselves; loss of  
296 pollinators correlates with loss of functionally linked native plants, and decreases in native  
297 plant diversity (Biesmeijer et al. 2006) and agricultural productivity (Harrison 2000, Dukas  
298 2005). This isn't surprising given that insect pollinators alone provide an estimated 14  
299 billion dollars' worth of services to the agricultural industry (Calderone 2012).

300         There are several causes of decline in insect pollinators including the intensification  
301 of farming practices, monocropping, fragmentation of suitable habitats, loss of nesting sites,  
302 increased competition from invasive and non-native species, and potentially pesticide use  
303 (Goulson et al. 2005, 2008, 2015, Kosior et al. 2007, Potts et al. 2010, Pauw and Hawkins  
304 2011). Findings from rural landscapes implicate climate change in driving recent losses  
305 (Potts et al. 2010, Burkle et al. 2013). Extreme and prolonged droughts are of particular  
306 concern because they are expected to increase in severity and persistence as climate change  
307 continues (Dai 2012). However, in human-dominated landscapes, climate change impacts are  
308 generally confounded with historical changes in land use. Studies in more pristine

309 ecosystems can better offer insights into climate impacts on local extinctions and other  
310 responses of pollinator populations and communities.

311         This study takes advantage of a natural opportunity to observe climate impacts to  
312 pollinators and their ability to recover from extreme weather events in a relatively untouched  
313 alpine ecosystem in the Central Rocky Mountains. Pennsylvania Mountain Natural Area  
314 (“Pennsylvania Mountain”), Park Co., CO, has provided the backdrop for studies of  
315 pollinator populations since the 1970s and semi-annually since the late 2000s. These surveys  
316 of bumble bee demography and diversity provide a baseline against which impacts of  
317 extreme climate events can be gauged. Here, we propose to evaluate such impacts, focusing  
318 on the aftermath of an extreme drought in 2012 for the Pennsylvania Mountain alpine bumble  
319 bee community that has also experienced recent introductions of new species from lower  
320 elevation subalpine habitats since 2008 (Geib et al. 2015).

321         In 2012 a severe and pervasive drought hit the intermountain western US, including  
322 Colorado, an event that is predicted to become more common in this area as shifts in rainfall  
323 frequency and abundance become more erratic with increased warming due to climate  
324 change (Gutzler and Robbins 2011, Pederson et al. 2013). Extreme drought extended from  
325 March-November with impacts exacerbated by “persistent and anomalous” heat (National  
326 Oceanic and Atmospheric Administration 2013). During the 2012 season, snowpack in the  
327 central Rockies melted over 4 weeks ahead of average, and vegetation was drying out by  
328 June (Lukas and Darby 2012). It was the worst drought in this area since 2002, which was  
329 considered a benchmark year. Drought severity was on par with conditions generating the  
330 dust bowl of the 1930s (National Oceanic and Atmospheric Administration 2013). On

331 Pennsylvania Mountain, such dry conditions were further magnified by the rain shadow  
332 effect of the Continental Divide (Peterson and Billings 1982).

333         Coinciding with extremely early flowering and senescence of the plant community  
334 (average bloom time was 45% shorter than normal; Franklin 2014), dramatic reductions in  
335 foraging bumble bee density were observed in the summer of 2012 for all species normally  
336 present compared to the previous five years (99% reduction overall, Geib and Galen,  
337 *unpublished data*). This event provided an interesting opportunity to observe re-  
338 establishment of the *Bombus* community following the drought and compare relative species  
339 composition in climatically more normal years before and after the 2012 population  
340 contractions.

341         Pollinator populations naturally exhibit annual, seasonal, and spatial variation in  
342 abundance driven by changes in weather patterns (suitable temperatures, adequate rainfall)  
343 (Rasmont and Iserbyt 2012), resource availability (including temporal and spatial floral  
344 abundances) (Itioka et al. 2001), and competition (Zimmerman and Pleasants 1982, Thomson  
345 2004). However, it is unclear whether alpine bumble bees, potentially isolated by  
346 mountainous habitat, can recover from such extreme population reductions as observed in  
347 2012 or whether local extinction is a more likely possibility.

348         The primary objectives of this study were to assess recovery of the alpine *Bombus*  
349 community following the drought-mediated population reductions in 2012 and compare pre-  
350 and post-drought community composition. We specifically conducted comparisons of 1) the  
351 overall size of the *Bombus* community, 2) relative species abundances, 3) relative  
352 abundances of ecologically relevant groupings of species (alpine vs. recently invading

353 subalpine *Bombus* and long vs. medium, and short-tongued bees), 4) mean tongue length of  
354 the *Bombus* community, 5) measures of species diversity and richness.

355 We expected to see slow re-establishment of alpine bumble bee populations, leading  
356 to generally fewer foragers of alpine species during the 2013 blooming season. We also  
357 hypothesized that the drought might facilitate further upward movements of subalpine  
358 species above tree line, altering community composition and relative species abundances.

359

## 360 Methods

361

### 362 Site and Study System

363 All samples for this study were collected at Pennsylvania Mountain Natural Area  
364 (“Pennsylvania Mountain”), Park County, Colorado, USA, in late July-August 2013 and  
365 2014. Pennsylvania Mountain is divided by the headwaters of an east-flowing stream,  
366 Pennsylvania Creek. The southern half of the mountain is characterized by a number of east-  
367 facing slopes, each topped by ridges or plateaus, extending from tree line (~3500 m) upward  
368 to the summit 3963 meters. Above tree line, slope faces comprise a matrix of interspersed  
369 alpine meadows and fell fields, and flora consists mainly of flowering perennials  
370 characteristic of alpine habitats, grasses, and sedges.

371 Historical surveys of bumble bees (*Bombus*) on Pennsylvania Mountain in the late 1970s  
372 revealed a community that typically consisted of *Bombus balteatus*, *B. sylvicola*, and *B.*  
373 *frigidus* (Byron 1980). *Bombus balteatus* and *B. sylvicola* dominated the alpine tundra  
374 regions above 3600 meters (99% of foraging individuals, Byron 1980), with rare appearances  
375 of *Bombus frigidus*. Low alpine bumble bees, *Bombus flavifrons* and *B. bifarius*, were most

376 abundant at elevations below 3100 meters. More recent surveys at Pennsylvania Mountain  
377 in 2008, 2011, and 2012 sampled the bumble bee community at elevations between 3600 and  
378 3800 meters. Surveys in 2008 and 2011 revealed surprising proportions of low-elevation  
379 bumble bees sharing elevation and niche space with the historically dominant alpine species  
380 (Geib et al. 2015). Though it is unclear when the shift in the bumble bee community  
381 composition first occurred, it is possible that the warmer, dryer climate and more frequent  
382 droughts that have characterized Colorado since the 1990s (Saunders et al. 2008) facilitated  
383 establishment of non-native species in this habitat. Such upward shifts in species ranges in  
384 response to climatic warming have been widely forecast.

385

#### 386 Bumble Bee Surveys

387 In 2013, foraging bumble bee workers were lethally sampled at four sites above  
388 timberline on Pennsylvania Mountain, following the methods of Geib et al. (2015). Foraging  
389 bumble bees of the worker caste were the targets of these surveys because they are the  
390 primary agents of critical pollination services to plants; benefits to plants from pollination  
391 services are density-dependent, correlating with abundance of their mutualist pollinators  
392 (Dewenter 2003, Geib and Galen 2012). Surveys were conducted between July 25<sup>th</sup> and  
393 August 6<sup>th</sup>, for 26 person-hours, with equal effort at all sites. In 2014, a reduced survey was  
394 conducted at the lowest alpine site only on August 4<sup>th</sup> for six person hours. The collection site  
395 locations coincided with those in 2008 and 2011, and are described in Geib et al. (2015). All  
396 sites contained extensive patches of bee-pollinated plant species (Byron 1980), and were in  
397 full bloom during the sampling period, which coincides with the peak abundance of foraging  
398 worker bees. These semi-annual surveys are conducted at this time to minimize detrimental

399 impacts to brood rearing and production of reproductive castes (which occurs at the end of  
400 the summer). In all surveys, bees were collected using nets and stored in iced vials to  
401 minimize stress. After all daily collections, worker bees were placed in a freezer until torpor  
402 and transferred to centrifuge tubes filled with 95% ethanol. They were stored at -20°C until  
403 return to Appalachian State University, Boone, NC, where they were dried, pinned, and  
404 identified to species.

405

#### 406 Metrics and Statistical Analyses

407 To assess changes to the bumble bee community following drought-mediated  
408 population contractions of 2012, I compared all dependent variables among survey years,  
409 with 2013 and 2014 surveys considered “post-drought” and 2008 and 2011 considered “pre-  
410 drought”. Drought classification data was gathered from the US Drought Monitor based on  
411 June 17-July 1 reports of respective years. All precipitation accumulation data was gathered  
412 from the Natural Resources Conservation Service SNOTEL website  
413 (<http://www.wcc.nrcs.usda.gov/snow/>). 2008 was considered “normal” in temperature and  
414 precipitation for Colorado by July of the water year. Around the same time in 2011, the  
415 region was teetering between “abnormally dry” to moderate drought levels. After the historic  
416 2012 drought, precipitation had improved conditions to “abnormally dry” and moderate  
417 drought levels by July of 2013, and following an exceptionally wet winter, normal non-  
418 drought conditions had been reestablished for the region by late June of 2014. The years  
419 2008, 2011, 2013, and 2014 all had winter (December – May) precipitation averages ranging  
420 from 67.3 mm - 75.8 mm. In contrast, the in the severe drought year, 2012, the region  
421 received only 38.5 mm of snow water equivalent for the winter, which amounts to 57% of  
422 precipitation received compared to the next driest year. Winter precipitation rates are

423 particularly important for bumble bees as snow accumulation insulates overwintering queens  
424 and provides early water resources in the form of runoff when queens and plants begin to  
425 emerge in the spring. Averaging winter and summer precipitation combined for non-drought  
426 years ranged from 63 mm - 69.7mm, while the 2012 drought year received 51.3 mm.

427 I assessed pre-post drought changes in the forager community from a number of  
428 perspectives. Unless otherwise noted, all statistical analyses were conducted using JMP  
429 (Version 9.0.01, SAS Institute, Inc.).

430

#### 431 Total Forager Abundance

432 I compared capture rates during forager surveys as a proxy for comparing the overall  
433 size of the *Bombus* community among survey years. Given that the total effort invested in  
434 bumble bee surveys was not equal every year, I standardized capture rates by dividing the  
435 number of bees sampled at each site by the number of person hours of surveying time.  
436 Despite potential for differences in capture rate among individual researchers, this measure  
437 appears to be the most appropriate index of “absolute” abundance (Pyke et al. 2012). I  
438 conducted comparisons using analysis of variance (ANOVA), with site as the unit of analysis  
439 ( $n = 4, 2, \text{ and } 4$  sites, respectively for 2008, 2011, and 2013), and year as the main fixed  
440 effect. Because the 2014 bumble bee survey lacked replicate sites ( $n = 1$ ), it could not be  
441 statistically compared to other years, although the data is included in figures for comparison.

442

#### 443 Species Composition of the Bumble Bee Community

444 To assess whether the makeup of the *Bombus* community varied significantly pre-  
445 and post-drought I used ANOVA to test for differences in relative abundances (proportions)

446 comprised by each species among survey years. Proportions were arcsine-square-root  
447 transformed prior to analysis. Site was the unit of analysis, and year, species, and year x  
448 species were included as fixed model effects. Pairwise comparisons of Least Squares Means  
449 (LS Means) were conducted with Student's *t*-test.

450 I then asked whether drought was facilitating upward shifts of subalpine bumble bees  
451 into this alpine habitat by comparing relative abundance of species in two groups:  
452 proportions of historically dominant high alpine species (*B. balteatus* and *B. sylvicola*) and  
453 lower alpine species that have begun to populate the high alpine within the last ten years (*B.*  
454 *flavifrons*, *B. frigidus*, *B. bifarius*, *B. mixtus*). Statistical comparisons were conducted for  
455 years with multiple sample sites (2008, 2011, 2013) via ANOVA, with year, ecological  
456 grouping, and year x ecological grouping as fixed model effects. Proportions were arcsine-  
457 square-root transformed prior to analysis. Pairwise comparisons of LS Means were  
458 conducted with Student's *t*-test.

459

#### 460 Distribution of Traits Relevant to Pollination

461 Pollinators partition themselves among the plant community based on matching  
462 between tongue and corolla tube length (Rodríguez-Gironés and Santamaría 2006, Pyke et al.  
463 2012). Changes in relative bumble bee species abundances may alter the relative proportion  
464 of long vs. short-tongued bees in the *Bombus* community with implications for benefits to  
465 host plants. *Bombus balteatus* is an especially important pollinator in alpine habitats of this  
466 region as it is the only long tongued pollinator. To ask whether the relative frequency of  
467 tongue lengths varied following drought-mediated population contractions, I grouped bumble  
468 bee species by three tongue length categories: long (*B. balteatus*), medium (*B. flavifrons*, and

469 *B. centralis*), and short (*B. bifarius*, *B. frigidus*, *B. sylvicola*, *B. mixtus*, and *B. melanopygus*)  
470 (Pyke et al. 2012). ANOVA was used to test for differences in proportions of tongue length  
471 groups among years with site as the unit of analysis. Proportions were arcsine-square-root  
472 transformed prior to analysis. I also calculated mean tongue length of the bumble bee  
473 community by multiplying the relative species proportions at each site by the species-specific  
474 mean tongue length for workers as determined by Macior (1974). Again, differences in mean  
475 tongue length among years were assessed using ANOVA with site as the unit of analysis.

476

#### 477 Species Diversity and Richness

478 Species diversity and richness were calculated in R using the Vegan package, which  
479 implements the Shannon-Weiner Index (SWI, value increases when number of species and  
480 the evenness of species increases). Diversity was calculated as follows:

481

482

$$H = \sum_{i=1}^s - (P_i * \ln P_i)$$

483

484 Here, H is the Shannon diversity Index (SWI),  $P_i$  is the fraction of entire population made of  
485 species i, S is the number of species encountered, and  $\sum$  is the sum from species 1 to species  
486 S.

487 Species richness is derived from the number of species present, but does not take into  
488 account abundance or distribution. It is calculated by averaging the number of species found  
489 per site by year. Differences in species diversity among years were assessed using ANOVA  
490 with site as the unit of analysis.

## Results

491

492

### 493 Total Forager Abundance

494 Pre-post drought comparisons of foraging worker bumble bee capture rates as a proxy  
495 for total forager abundance revealed that the overall availability of foragers varied drastically  
496 among years (One-way ANOVA  $F(3,10) = 42.19, p = <0.0001$ ; Figure 1, Table 2).

497 However, there were just as many *Bombus* foragers present in 2013 compared to pre-drought  
498 years; in fact, there were more bees caught per person-hour per site on average that year. The  
499 788 workers caught in 30 person hours represented the highest rate of bee capture of 26.3  
500 bees/ hour compared to 18 bees/hour in 2008 and just 12 bees/hour in 2011. 2014 capture  
501 rates were in line with those of pre-drought years, although no statistical comparisons could  
502 be conducted due to lack of site replication.

503

### 504 Species Composition of the Bumble Bee Community

505 Comparing relative species proportions among years revealed significant temporal  
506 oscillation in community structure. (ANOVA whole model  $F(23,56) = 19.78, p < 0.0001$ ;  
507 ANOVA year x species  $F(14) = 2.86, p < 0.0027$ ) due to the differences in species  
508 proportions within years,  $F(7) = 3.45, p < 0.0001$ . *Bombus balteatus* comprised overall the  
509 greatest proportion of the community, on average (Pairwise LS Means comparisons  $p <$   
510  $0.05$ ).

511 Comparing alpine vs. non-alpine bees in the three sampled years revealed temporal  
512 stability, with no significant differences among years (Figure 3, Table 2, ANOVA whole  
513 model  $F(5,14) = 0.7390, p = 0.6067$ ).

514

## 515 Distribution of Traits Relevant to Pollination

516 The average pollinator tongue length on Pennsylvania Mountain did not vary in any  
517 of the three years sampled (Figure 4, Table 2,  $F(2,7) = 1.119, p = 0.3785$ ). The frequency of  
518 tongue length groups within the community showed tendency to vary among years but  
519 presented no evidence of significantly changing after the drought (Figure 5, Table 2,  
520 ANOVA whole model  $F(8,21) = 2.0531, p = 0.0892$ ; ANOVA year x tongue group  $F(4) =$   
521  $2.15, p = 0.1096$ ).

522

## 523 Species Diversity and Richness

524 Worker bee samples from 2008, 2011, 2013, and 2014 revealed 8 different species  
525 inhabiting Pennsylvania Mountain. The year 2014 had the lowest amount of species richness  
526 (4) and diversity measurements from the Shannon-Weiner D index (1.015), but this year was  
527 only sampled at one site and could not be compared. The 2013 season had the highest level  
528 of species richness and diversity (richness = 6.25, diversity Shannon's D = 1.459), mostly  
529 due to the appearance of two low-alpine species, *Bombus mixtus* and *B. melanopygus*, with  
530 the latter species not found in any other year. However, there were no significant differences  
531 in diversity among years (Figure 6, Table 2, ANOVA  $F(2,7) = 0.3078, p = 0.7445$ ).

532

533

## Discussion

534

535 By comparing characteristics of an alpine bumble bee community over a number of  
536 years prior to and following the severe 2012 drought in the Colorado Rocky Mountains, I

537 could find no evidence of carry-over effects from the resulting drought-mediated population  
538 contractions. Relative abundances of both native alpine and invading subalpine bees  
539 fluctuated in a pattern that did not support drought as a causal agent. When looking at bees  
540 grouped by functional traits important to partnering host plants, neither average tongue  
541 length in the community nor frequency of observed tongue length changed, and there was no  
542 loss in species diversity or richness.

543

#### 544 Total Forager Abundance

545 I hypothesized that there would be slow recovery of total forager abundance in the  
546 Pennsylvania Mountain bumble bee community after the dramatic population declines of  
547 both native alpine and invasive subalpine bumble bees during 2012, but the 2013-year  
548 samples revealed that this year had the highest total forager abundance and rate of capture of  
549 all surveyed years. It is possible that a small number of queens were able to produce a new  
550 reproductive caste, which contributed to the large number of foragers seen the following year  
551 (the old queens will typically die at the end of the season after laying the reproductive caste)  
552 (Goulson 2010). It seems more realistic, however, that heavy immigration from other source  
553 populations were responsible for the rapid repopulation of the alpine portion of Pennsylvania  
554 Mountain. It is thought that high alpine mountaintops may act as “sky islands” and might  
555 limit the amount of immigration and dispersal from one mountaintop to another. However,  
556 because of the rapid replacement of all *Bombus* species it is likely that the mountains are not  
557 limiting bumble bee dispersal. Although mechanisms for *Bombus* dispersal on mountaintops  
558 are still unclear, it is possible that queens from nearby mountaintops immigrated early in the  
559 season and were able to quickly find suitable habitat to establish nests. Literature on flight

560 distance of bumble bees supports that most individuals, especially queens, should be able to  
561 traverse the linear distance between mountaintops (Knight et al. 2005, Geib et al. 2015).  
562 However, it is unclear how the broader landscape characterized by tall mountains and deep,  
563 windy valleys or gulches may promote or limit queen dispersal.

564

#### 565 Species Composition of the Bumble Bee Community

566 Looking at differences in relative species abundances in semi-annual surveys of  
567 Pennsylvania Mountain bumble bees revealed no clear pattern between pre- and post-drought  
568 alpine *Bombus* communities. Surveys on Pennsylvania Mountain since the late 2000s  
569 showed evidence of upward shifts in the ranges of bumble bee species that typically occupy  
570 subalpine zones when compared to historical surveys conducted in the 1970s (Geib et al.  
571 2015), resulting in homogenization of the subalpine and alpine bumble bee communities.  
572 Similar findings have been reported in other parts of Colorado (e.g., Front Range; Miller-  
573 Struttman et al. *in review*) as well as globally (e.g., Spain, Ploquin et al. 2013). In Spain,  
574 these events have occurred coincident with a regional warming of 0.9 °C, conditions which  
575 parallel those experienced by Colorado over the last decade (warming of 1.11°C over the last  
576 30 years; Gordon and Ojima 2015), suggesting that the prolonged warmer, dryer conditions  
577 experienced by Colorado over the late 1990s and 2000s facilitated increases in relative  
578 proportions of subalpine species observed above tree line. Such predictions of upward shifts  
579 in species ranges associated with warming have long been forecast (Walther et al. 2002,  
580 Parmesan 2006), and similar upward shifts in Colorado plants have been attributed to this  
581 warming (Sproull et al. 2015). However, there was no evidence that the short-term 2012  
582 drought, despite its severity further promoted significant upward shifts of lower elevation

583 *Bombus* species. The 2008 and 2011 (pre-drought) Pennsylvania Mountain bumble bee  
584 communities shared fewer similarities than did the communities in 2008 and 2013 (the year  
585 following the severe drought).

586         Most interestingly, the 2014 survey of the Pennsylvania Mountain bumble bee  
587 community appeared to suggest an unexpected resurgence in relative abundance of alpine  
588 bumble bee foragers. Although this survey data could not be statistically compared to others  
589 due to lack of site replicates, the data is likely trustworthy in that only the lowest elevation  
590 site was surveyed, which should bias data in favor of subalpine species. This finding is  
591 surprising, since when invasive species are established and on the rise, as they appeared to be  
592 on Pennsylvania Mountain in recent years, they rarely undergo spontaneous decline  
593 (Simberloff and Gibbons 2004).

594         There are, however, exceptions. The invasive Mountain Pine Bark Beetle began to  
595 spiral out of control in 1996 likely due to warmer temperatures and increasing drought  
596 conditions stressing their host tree species and making them more susceptible to a beetle  
597 invasion. The peak of the Pine Bark Beetle population explosion occurred in 2008 (beetles  
598 were active on 1.2 million acres of pine trees), but has dropped dramatically since then. A  
599 2014 report on Colorado's forests estimates that the current range of active infestation stands  
600 at only 10,000 acres, mostly due to the death of suitable host trees (Colorado State Forest  
601 Service 2014). A recent study in Europe investigated the near total replacement of the  
602 Eurasian red squirrel population with the invasive North American grey squirrel, mainly due  
603 to effects of competition and disease. Researchers found that the recovery of a native  
604 predator, the pine martin, was negatively correlated with grey squirrel abundance and  
605 positively correlated with abundance of the Eurasian red squirrel (Sheehy and Lawton 2014).

606 The recovery of the native predator and the native red squirrel has consequently pushed the  
607 invasive grey squirrel populations to an unusually low density. Whether these drivers of  
608 invasive species declines have any impact on the non-native bees on Pennsylvania Mountain  
609 requires more research into community dynamics, resource availability, and habitat quality.

610

#### 611 Distribution of Traits Relevant to Pollination

612 Under optimal foraging conditions, pollinators will partition themselves among the  
613 floral community based on tongue length (Rodríguez-Gironés and Santamaría 2006), which  
614 was why measurements regarding tongue length traits were made following the 2012  
615 drought. Currently there is evidence to suggest that there have been worldwide declines in  
616 the abundance of long tongued pollinators in particular, partly due to declines in deep corolla  
617 length plants (Goulson et al. 2005). Measuring the mean tongue size would reveal any trends  
618 favoring a particular tongue size, especially if there was a decline in the only long tongued  
619 pollinator, *Bombus balteatus*. Comparing pre- and post-drought tongue length frequencies  
620 reveals no pattern or shift in the frequency of tongue length sizes, which is consistent with  
621 corresponding relative species abundances, so this was unsurprising. That tongue length  
622 group distributions remain constant despite varying species composition of the bumble bee  
623 community suggests that pollination services to respective guilds of host plants for each  
624 tongue length group should remain consistent.

625

#### 626 Species Diversity and Richness

627 Interestingly, the post drought 2013 year experienced the highest level of species  
628 diversity and richness. After the 2012 population crash, I hypothesized that the community

629 would recover slowly and possibly lose rare species. Losing rare species would be likely in a  
630 degraded drought-stricken environment as they often exist at the edges of their latitudinal  
631 range and can be poorly adapted to local conditions (Goulson et al. 2005). On the contrary,  
632 there were appearances of three species found in 2013 that have only been observed  
633 sporadically since 2008. The increasing number of rare species observed may be caused by  
634 the unusually warm temperatures this region has been experiencing within the last decade.

635         In a similar study conducted in sub-tropical reef-fish communities, warming sea  
636 temperatures increased levels of species richness. The researchers hypothesized that there  
637 would be a reduction in the abundance of individual temperate (native) species due to  
638 predicted range contractions and an increase of abundance of tropical (non native) fish  
639 species as a predicted consequence of warming. Instead, they found no support for a general  
640 reduction in abundance of temperate species, and richness and diversity increased by 33%  
641 and 15% respectively (Lloyd et al. 2012). The measurements of species richness and  
642 diversity within this study revealed similar trends.

643         An important aspect of studying pollinator decline and recovery is assessing  
644 implications for plant populations. In alpine ecosystems, many plants are exceptionally long-  
645 lived perennials (Billings and Mooney 1968). The trade offs for such lengthy lifespans are  
646 low recruitment and low offspring survival rates, a strategy that protects the plant population  
647 from occasions where sexual reproduction is resourced limited (Silvertown et al. 1993). The  
648 apparent absence of pollinators during the 2012 drought may not have had major impacts the  
649 overall plant population, but may have been a source of pollen limitation for new seed  
650 production and recruitment. In years of such extreme drought, plant reproduction may be

651 more restricted by water resources, and plants may have low fecundity regardless of pollen  
652 availability (Herrera 1991).

653

#### 654 Further Research

655 Further research is necessary to answer the questions that arise from the findings  
656 following the 2012 drought. If these mountaintops are not working as isolated island habitats,  
657 source-sink dynamics may be working to maintain populations of alpine bumble bees on  
658 Pennsylvania Mountain, but so far no research has investigated such metapopulation  
659 dynamics and dispersal in bumble bees in mountain habitats or any other save islands (see  
660 Goulson et al. 2011). Other research should also be conducted to search for possible survival  
661 strategies that bumble bees may utilize when severe weather events and plant failures occur.  
662 Although this study measured the bumble bee community in the year directly following the  
663 collapse of a community, there may be a lag effect that could be observed in two or three  
664 years after the event. Events such as prolonged drought lasting multiple years may also cause  
665 more serious and significant changes to a *Bombus* community than can be observed in just  
666 one season. This may be the case for the decade long drought of the late 1990s and early  
667 2000s that characterized this region of Colorado, possibly triggering the invasion of alpine  
668 habitats by subalpine species.

669 **Table 1:** Average precipitation in millimeters on Pennsylvania Mountain.

	Year Average	Winter Average	Summer Average	Summer and Winter Average
2007	61.64	54.67	76.00	61.78
2008	61.45	71.83	60.33	68.00
2011	65.82	72.00	56.00	66.67
2012	50.09	38.50	77.00	51.33
2013	55.00	67.33	54.33	63.00
2014	64.00	75.83	57.67	

670

671 **Table 2:** ANOVA Tables for A) Year effects on rate of capture in 2008, 2011, 2012, 2013,  
672 and 2014, B) Year and species effects on species relative abundance proportions in 2008,  
673 2011, and 2013, C) Year and ecological grouping effects for alpine vs. other proportions in  
674 2008, 2011, and 2013, D) Year and tongue length effects on frequency of tongue length in  
675 2008, 2011, and 2013, E) Year effects on mean tongue length in 2008, 2011, and 2013.

	Source	D.F.	SS	F Ratio	Prob > F
<b>A - Rate of Capture</b>	Year	4	188.66	1.66E+16	<0.0001*
	Error	5	1.42E-14		
<b>B- Species Proportion</b>	Year	2	0.01	0.63	0.5452
	Species	5	0.27	6.50	0.0013*
	Species * Year	10	0.12	1.41	0.2527
	Error	18	0.15		
<b>C - Alpine VS Other</b>	Year	2	0.03	1.03	0.3687
	Alpine VS Other	1	0.12	9.88	0.0037*
	Year * Alpine VS Other	2	0.04	1.74	0.1931
	Error	30	0.37		
<b>D - Tongue Length Frequency</b>	Year	2	0.01	0.31	0.7346
	Tongue Length	2	0.21	9.92	0.0006*
	Year * Tongue Length	4	0.04	1.03	0.4113
	Error	27	0.28		
<b>E - Mean Tongue Length</b>	Year	2	0.01	0.12	0.8905
	Error	3	0.11		

676

677 **Table 3:** Table of *Bombus* species diversity, richness, and evenness.

	<b>2008</b>	<b>2011</b>	<b>2013</b>	<b>2014</b>
<b>n</b>	238	192	348	112
<b>Richness</b>	5.5	5	6	4
<b>Shannon Index (ln)</b>	1.425922	1.4185015	1.4331715	1.014869467
<b>Evenness</b>	0.83644147	0.881364537	0.799868244	0.732073574

678

## Figure Legends

679

680

681 **Fig. 1 Figure 1:** Capture rate of foraging worker bumble bees during semi-annual surveys at  
682 Pennsylvania Mountain Natural Area, Park County, CO. Bars are means of all sites surveyed  
683 that year (n = 4 sites for 2008, 2012, and 2013; n = 2 and 1 sites for 2011 and 2014,  
684 respectively. 2014 is included only for visual comparison and was not included in statistical  
685 analyses. Error bars represent standard error.

686

687 **Figure 2:** Relative abundance of *Bombus* species in semi-annual surveys conducted at  
688 Pennsylvania Mountain Natural Area, Park County, CO. Proportions are means of all sites  
689 surveyed that year (n = 4 sites for 2008, 2012, and 2013; n = 2 and 1 sites for 2011 and 2014,  
690 respectively. 2014 is included only for visual comparison and was not included in statistical  
691 analyses. Error bars represent standard error.

692

693 **Figure 3:** Relative abundance of alpine and sub-alpine worker bumble bees in semi-annual  
694 surveys at Pennsylvania Mountain Natural Area, Park County, CO. Bars are means of all  
695 sites surveyed that year (n = 4 sites for 2008, 2012, and 2013; n = 2 and 1 sites for 2011 and  
696 2014, respectively. 2014 is included only for visual comparison and was not included in  
697 statistical analyses. Error bars represent standard error.

698

699 **Figure 4:** Mean tongue length of all foraging worker bees caught during semi-annual surveys  
700 at Pennsylvania Mountain Natural Area, Park County, CO. Tongue lengths of worker bees  
701 were based on means reported by Macior (1974). Bars are means of all bees in sites surveyed  
702 that year (n = 4 sites for 2008, 2012, and 2013; n = 2 and 1 sites for 2011 and 2014,  
703 respectively. 2014 is included only for visual comparison and was not included in statistical  
704 analyses. Error bars represent standard error.

705

706 **Figure 5:** Relative frequency of short, medium, and long-tongued worker bumble bees in  
707 semi-annual surveys at Pennsylvania Mountain Natural Area, Park County, CO. Bars are  
708 means of all sites surveyed that year (n = 4 sites for 2008, 2012, and 2013; n = 2 and 1 sites  
709 for 2011 and 2014, respectively. 2014 is included only for visual comparison and was not  
710 included in statistical analyses. Error bars represent standard error.

711

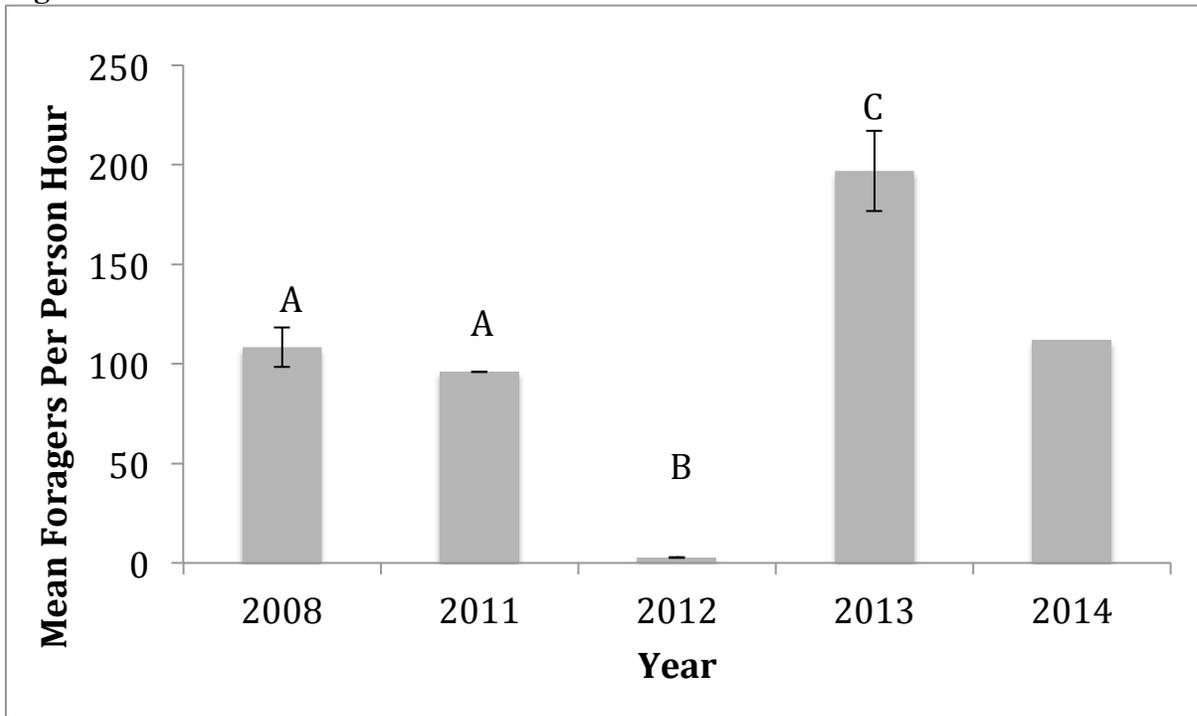
712 **Figure 6:** Shannon Weiner Diversity Index (ln) for bumble bee species observed in semi-  
713 annual surveys of foraging workers at Pennsylvania Mountain Natural Area, Park County,  
714 CO. Bars are means of all sites surveyed that year (n = 4 sites for 2008, 2012, and 2013; n =  
715 2 and 1 sites for 2011 and 2014, respectively. 2014 is included only for visual comparison  
716 and was not included in statistical analyses. Error bars represent standard error.

717

718 **Figure 7:** Species richness observed in semi-annual surveys of foraging workers at  
719 Pennsylvania Mountain Natural Area, Park County, CO. Bars are means of all sites surveyed

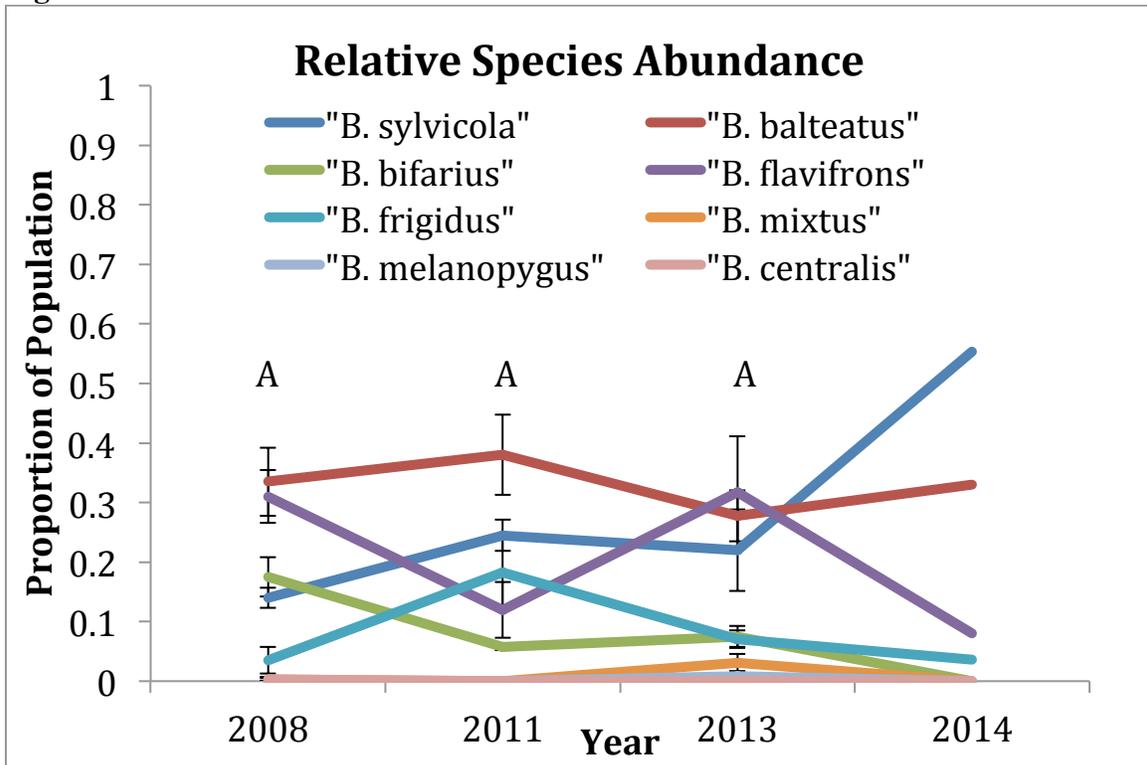
720 that year (n = 4 sites for 2008, 2012, and 2013; n = 2 and 1 sites for 2011 and 2014,  
721 respectively. 2014 is included only for visual comparison and was not included in statistical  
722 analyses. Error bars represent standard error.

723 **Figure 1.**



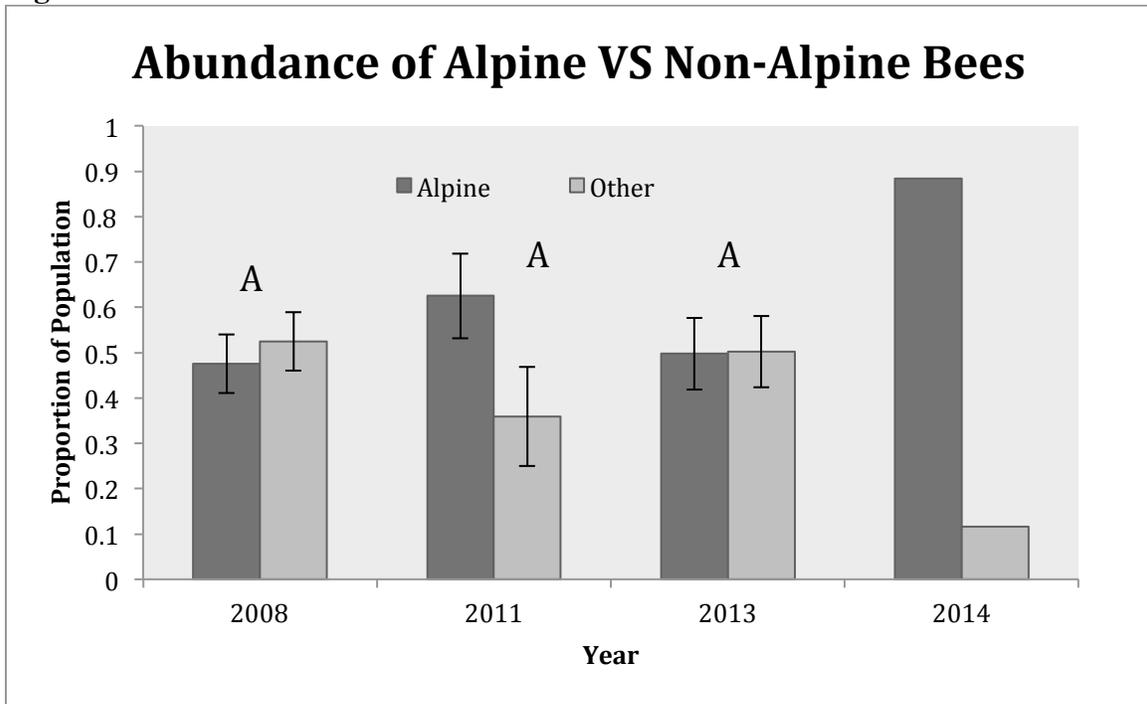
724  
725

726 **Figure 2.**



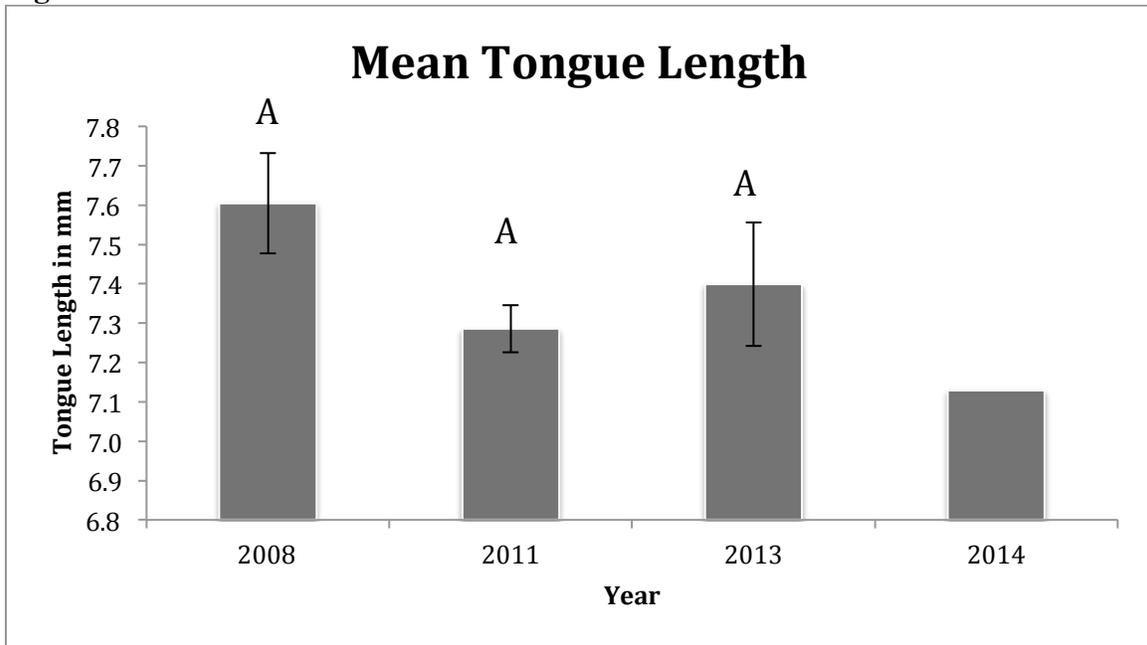
727

728 **Figure 3.**



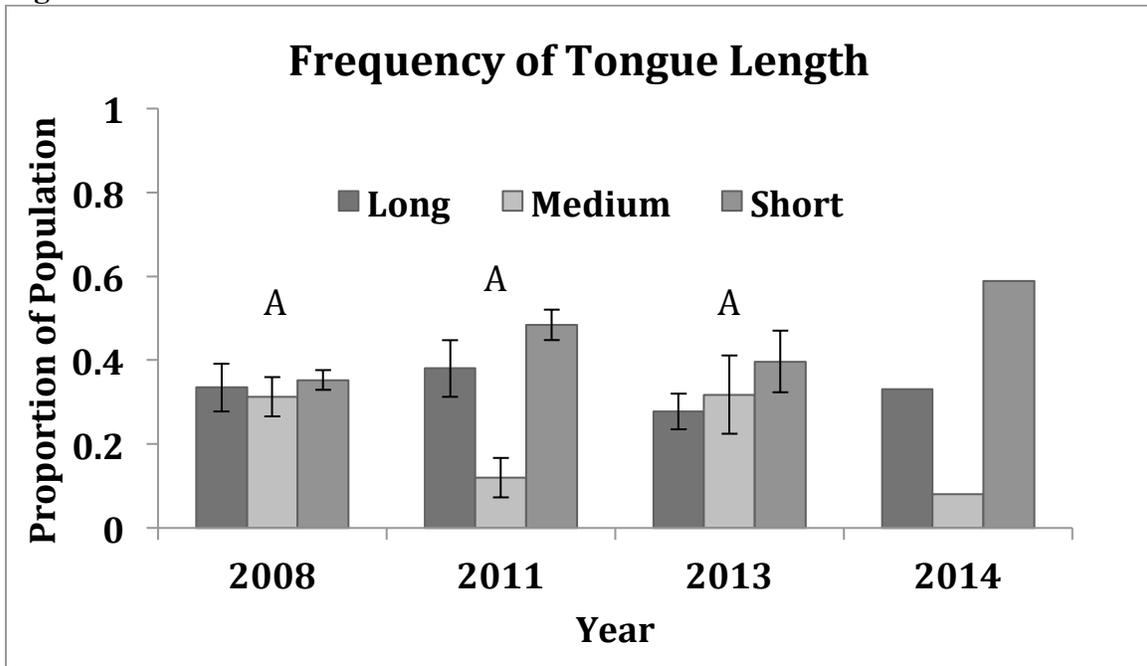
729

730 **Figure 4.**

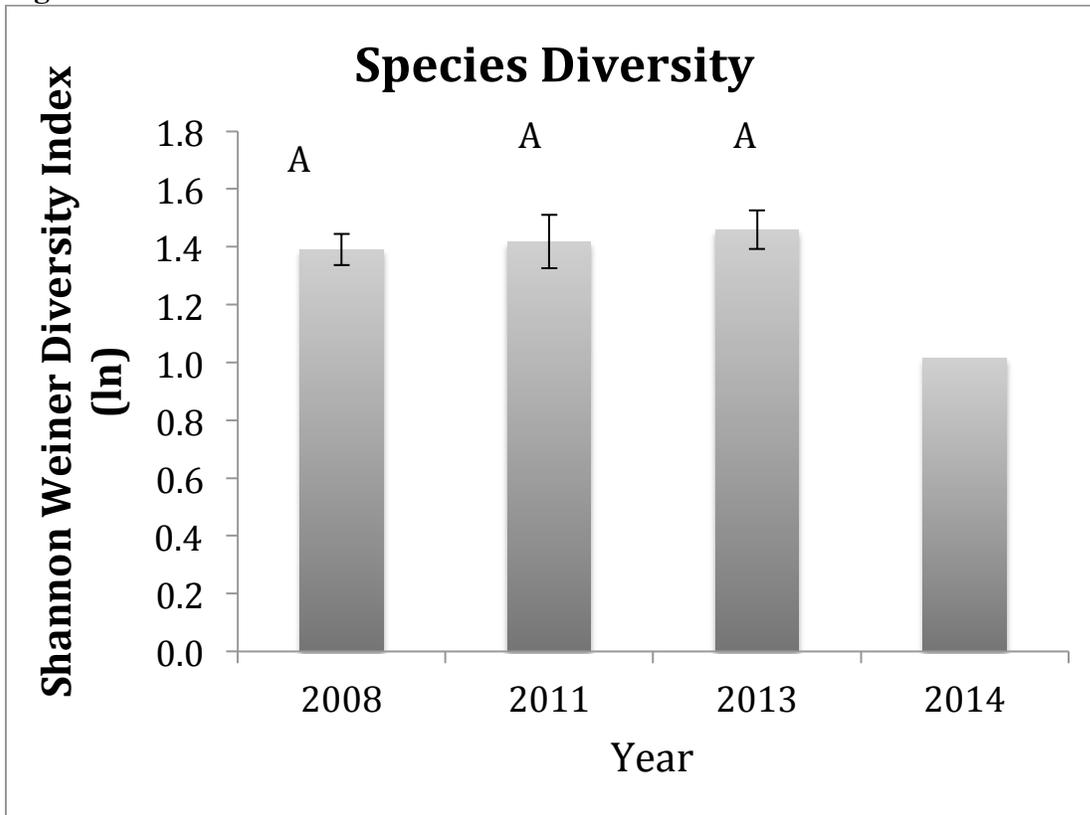


731

732 **Figure 5.**

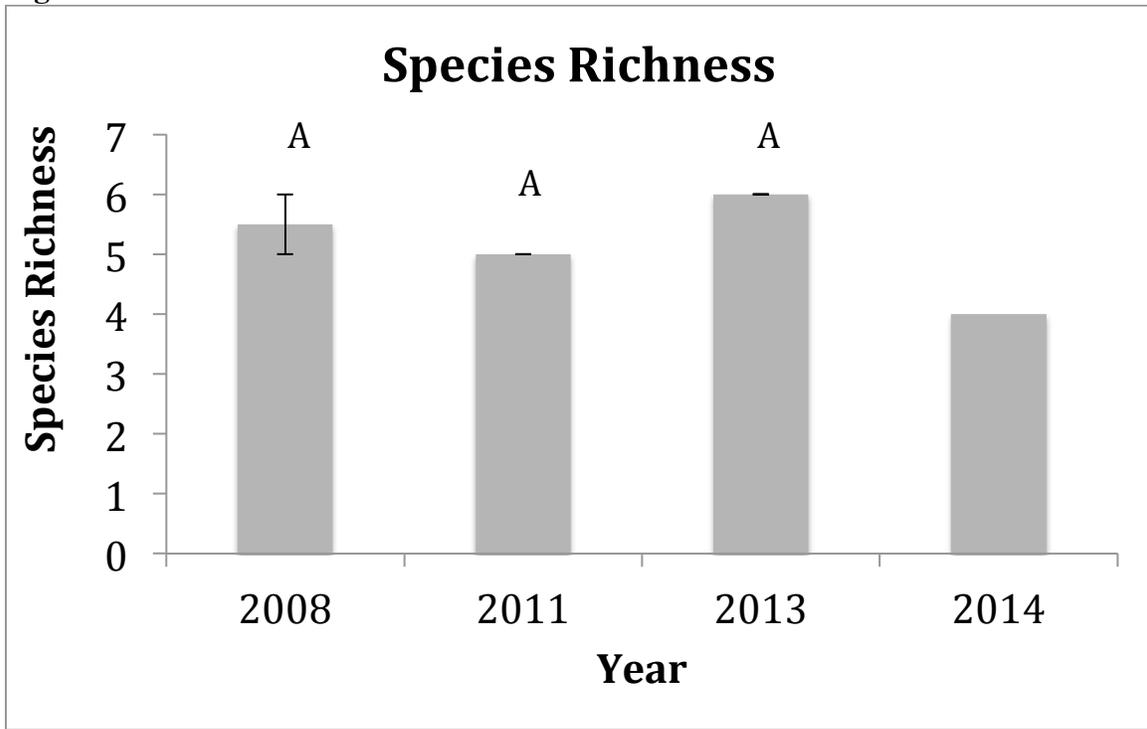


734 **Figure 6.**



735

736 **Figure 7.**



737

738

### Chapter 3

739

#### ABSTRACT

740

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743

NO EVIDENCE OF A GENETIC SIGNATURE FOLLOWING A DROUGHT-MEDIATED DEMOGRAPHIC BOTTLENECK IN AN ALPINE BUMBLE BEE, *BOMBUS BALTEATUS*

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When populations experience severe and rapid declines in population size, large amounts of genetic variation can be lost and result in a genetic bottleneck. In 2012, an extreme drought decimated the alpine *Bombus* community on Pennsylvania Mountain, Park County, Colorado, and surveys conducted found no reproductive individuals at the end of the season. Because of this population contraction and lack of reproductives, I hypothesized that samples from 2013 would reflect a genetic bottleneck and that measurements of effective population size would be lower than in previous non-drought years. I collected samples of all available *Bombus balteatus* foragers present at the end of the 2013 season and used genotypes to assess population health. PCR was used to amplify nine microsatellite loci to provide genotype data for analysis. Genotypes were analyzed in COLONY 2.0 to assign sibships of individuals and estimate effective population size. Three commonly used bottleneck testing methods were implemented to look for evidence of a genetic bottleneck signature: the *M*-ratio, the heterozygosity excess, and the mode shift test.

757

758

759

There was no significant decrease in effective population size in 2013 when compared to pre-drought years, even though the 2012 effective population size was effectively zero. Despite the significant observed demographic contraction that occurred in

760 the 2012 *Bombus balteatus* population, none of the tests used were able to detect any  
761 evidence of a genetic bottleneck within the 2013 population. These results suggest that  
762 bumble bees may have some method to tolerate or recover from stochastic and sharp declines  
763 in population numbers. Results refute the idea of alpine mountaintops as “sky islands”, and  
764 hint that immigration and dispersal among peaks may work to maintain genetic diversity. In  
765 order to increase power and statistical strength of bottleneck tests, sampling of the *Bombus*  
766 *balteatus* community should continue for several generations to ensure there is not a “false  
767 negative” period directly after the bottleneck that may be confounding the results. Findings  
768 provide hope for *B. baltaeatus* and its linked specialist host plants, given dire predictions for  
769 increasing drought frequency in the US Intermountain West under climate change models.

770

## 771 Introduction

772

773 When a population experiences a significant rapid decrease in size (a “demographic  
774 bottleneck”) it can lead to a corresponding decrease in genetic variability. The loss in allele  
775 diversity leads to a smaller pool of available genetic variation within the following  
776 generation and can severely impact the species ability to adapt to change and can increase  
777 chances of local extirpation (Freeland 2005). Specific detrimental effects that can negatively  
778 impact the future of the population include more frequent inbreeding (Darvill et al. 2006) and  
779 inability to fight parasite and disease (Liersch and Schmid-Hempel 1998, Gillespie 2010).  
780 Genetic fitness is negatively impacted after a bottleneck because the frequency of rare  
781 deleterious recessive alleles can increase (fixation) and cause inbreeding depression (Ellis et  
782 al. 2006). These impacts can vary from species to species and are heavily influenced by

783 demographic factors such as metapopulation dynamics, genetic drift, mutation rates, and  
784 adaptive pressures (Freeland 2005).

785         Searching for evidence of genetic bottlenecks is a commonly used technique in  
786 conservation, especially as it relates to worldwide species decline (Bouzat et al. 1998,  
787 Whitehouse and Harley 2001, Sinclair et al. 2002, Darvill et al. 2006). The molecular  
788 methods used in searching for bottlenecks can provide insights to the genetic structure and  
789 history of a population. Some methods assume that bottlenecked populations will experience  
790 reductions in allelic diversity faster than heterozygosity (in the sense of Nei 1987), so a  
791 bottleneck can be detected when observed heterozygosity is larger than expected at mutation-  
792 drift equilibrium based on a particular mutation model (Cornuet and Luikart 1996). Other  
793 tests operate under the assumption that rare alleles will be lost after a large population  
794 decline and create a shift in allele distribution (Luikart et al. 1998a). A third testing method  
795 predicts that a bottleneck will cause a shift in the ratio of number of alleles at a locus to the  
796 range in allele size (Garza and Williamson 2001).

797         There are several challenges when searching for evidence of a genetic bottleneck. In  
798 many instances, genetic data is only collected after a suspected bottleneck event has  
799 occurred, with no past genetic information available (Queney et al. 2000, Whitehouse and  
800 Harley 2001, Sinclair et al. 2002). This makes it difficult to decide as to whether or not a past  
801 bottleneck can explain low levels of genetic diversity. The ability to detect a bottleneck also  
802 depends on the severity, which is measured by how significantly the population size has been  
803 reduced and how quickly the population has been able to recover (Freeland 2005). Current  
804 methods used to detect the genetic signature of a bottleneck from a single population census  
805 often require prior knowledge of mutation rate, initial size of the population, and details of

806 the mutation process (Williamson-Natesan 2005), which may be unknown. Furthermore, the  
807 quality of molecular genetic markers, available sample size, and time passed since the  
808 bottleneck event will influence the ability to accurately detect a population bottleneck  
809 (Luikart and Cornuet 1998, Freeland 2005, Selkoe and Toonen 2006).

810 This study focuses on genetic impacts to a population of native alpine bumble bees  
811 (*Bombus balteatus*) following drastic reductions to their numbers during a severe drought in  
812 2012 in the American intermountain west. The *B. balteatus* population located within  
813 Pennsylvania Mountain Natural Area, Park County, Colorado, has been well studied, with  
814 demographic information available since the 1970s and genetic data available since 2008  
815 (e.g., see Geib et al 2015). In 2012, a severe and pervasive drought struck the intermountain  
816 western US, including Colorado (National Oceanic and Atmospheric Administration 2013).  
817 Drastic declines were observed for all resident bumble bee species at Pennsylvania Mountain  
818 during the census that year (99% fewer foragers overall and no observed reproductives of any  
819 species; Geib and Galen *unpublished data*). This was likely caused by early senescence of  
820 the plant community, as average bloom time was 45% shorter than normal (Franklin 2014).

821 Most social insects such as bumble bees follow a caste system that has few breeding  
822 individuals and many sterile workers. Some have expressed increased queen-male  
823 relatedness and positive inbreeding coefficients when populations are divided or decline in  
824 number (Sundstrom et al. 2003, Ellis et al. 2006). However, some argue that even if there is a  
825 loss in genetic diversity in hymenopterans, the sex determination by the haploid sex will  
826 purge deleterious recessive alleles (Sorati et al. 1996). An additional risk of low genetic  
827 variability in social hymenoptera species is that the single-locus complementary sex  
828 determination system can create sterile diploid males, which are a cost to the colony

829 (Whitehorn et al. 2009, Charman et al. 2010). This creates lowered overall fitness of a  
830 population even if deleterious recessive alleles do not persist. Despite the growing evidence  
831 that bumble bees around the world have experienced significant range contractions and  
832 population declines (Colla and Packer 2008, Goulson et al. 2015), it is unknown how  
833 widespread these genetic issues may be in their populations.

834         The objective of this study was to assess the genetic health of the 2013 *B. balteatus*  
835 population one year following a severe drought, by 1) documenting size of breeding  
836 population on Pennsylvania Mountain (“effective population size”,  $N_e$ ) and 2) testing for  
837 signatures of the population contraction in allele frequencies and distribution (bottleneck  
838 effects). Pre-drought genetic information from 2008 serves as a comparison for populations  
839 under normal conditions.

840         I hypothesized that the high elevation mountaintops occupied by *B. balteatus* may act  
841 as “sky islands” and limit rapid dispersal and immigration. I expected that the *B. balteatus*  
842 breeding population (effective population size,  $N_e$ ) on Pennsylvania Mountain in the year  
843 following the drought would be reduced compared to a relatively normal year. Estimates of  
844  $N_e$  serve as important indicators of bumble bee health as they measure the number of  
845 individuals that can breed and contribute genetic diversity to future generations. Drought-  
846 mediated reductions of *B. balteatus* populations on Pennsylvania Mountain in 2012 may have  
847 created a genetic bottleneck that could be observed by analyzing genotypes of subsequent  
848 populations, and I expected that the collected genotypes from 2013 would reveal that such an  
849 event had recently occurred.

850

851

## Methods

852

853

### 854 Study Site and System

855 All samples used in this study were collected as part of a semi-annual survey of  
856 foraging worker bumble bees at Pennsylvania Mountain Natural Area (Pennsylvania  
857 Mountain), Park County, Colorado, July 25 - August 5, 2013. Pennsylvania Mountain (4000  
858 m) is situated in the Mosquito Range of Central Colorado. The peaks of the range are quite  
859 high (five are > 14,000 ft) and form a north-south running ridge along the boundary between  
860 Lake and Park Counties. High elevation ridges connect many peaks. Higher peaks and ridges  
861 surround Pennsylvania Mountain on three sides (Fig 1), but are separated from its slopes by  
862 steep gulches to the north and south and a wide valley to the west.

863 Pennsylvania Mountain itself is divided by the headwaters of Pennsylvania Creek  
864 (Fig 1). The northern half of the mountain is characterized by significant land use from  
865 mining, but the southern half that comprises the Pennsylvania Mountain Natural Area and  
866 research station is relatively pristine. From treeline (3530 m) to the false summit (3800 m) it  
867 is comprised of a number of east-facing slopes characterized by a matrix of dry and moist  
868 alpine meadows and rocky fell fields.

869 The bumble bee community on Pennsylvania Mountain contains the historically present  
870 alpine species *B. balteatus*, *B. sylvicola*, and *B. frigidus*, plus significant numbers of recent  
871 subalpine invaders *B. bifarius*, *B. flavifrons*, and rare appearances of *B. centralis*, *B.*  
872 *melanopygus*, *B. mixtus*, *B. nevadensis* and *B. appositus* (Byron 1980, Geib et al. 2015). All  
873 species commonly present experienced severe population contractions in 2012, following  
874 early senescence of the plant community. Of these species, *B. balteatus* (Hymenoptera:

875 Apidae) was selected as the focal species because it is a historically dominant alpine species  
876 (Macior 1974), is the only long-tongue pollinator on above treeline (Pyke et al. 2012), and is  
877 responsible for pollination services to a range of ecologically important plants (Macior 1974,  
878 Geib and Galen 2012).

879         The 2013 survey that provided samples of *B. balteatus* workers for genetic analysis  
880 occurred on the relatively pristine southern half of Pennsylvania Mountain. Four alpine sites  
881 (50 m radius) were sampled, two high alpine (3730 m and 3735 m) and two low alpine (3659  
882 m and 3698 m). All sites and methods corresponded with those of Geib et al. 2015.  
883 Collections occurred during peak abundance of foraging bumble bee workers during the  
884 latter half of the flowering season (e.g., see Pyke et al. 2012) when the collection sites were  
885 also in full bloom. Bees were collected using nets and stored in iced vials to minimize stress.  
886 After daily collections, bees were placed in a freezer until torpor and transferred to centrifuge  
887 tubes filled with 95% ethanol. They were stored at -20°C until return to Appalachian State  
888 University, Boone, NC, where they were dried, pinned, and identified to species.

889

#### 890 Molecular Methods

891         To conduct the genetic bottleneck tests, I chose to use microsatellites as genetic  
892 markers. Microsatellites are often used in population genetics as they can reveal the genetic  
893 structure of subpopulations and populations (F-statistics), reveal events in demographic  
894 history such as bottlenecks and gene flow, and assess effective population sizes (Luikart et al.  
895 1998b, Piry et al. 1999, Balloux and Lugon-Moulin 2002, Williamson-Natesan 2005).  
896 Microsatellites are highly variable between insects and have faster mutation rates compared  
897 to other sequences, which makes them useful for determining variation between recent

898 generations (Peery et al. 2013). Microsatellites are typically co-dominant, which makes  
899 calculating allele frequencies much easier, as it allows the ability to distinguish between  
900 homozygous and heterozygous individuals (Freeland 2005).

901 DNA was extracted from whole *B. balteatus* workers using a tissue and cell DNA  
902 isolation kit (MO BIO, Carlsbad, CA) utilizing the included modified tough-tissue protocol.  
903 Modifications included the addition of proteinase-k (20 mg/mL) and placing the extraction  
904 tubes in a heat block at 55°C for 30 minutes. Once extractions were complete, PCR was used  
905 to amplify nine predetermined microsatellite loci (B124, BL12, BTERN01, BT10, B96,  
906 B119, BL11, B10, BT28) (Estoup et al. 1996, Reber, Funk et al. 2006, Lozier et al. 2011) in  
907 two multiplex reactions. Each well contained 2 µL 5X buffer (Promega, Madison, WI), 0.56  
908 µL 25 mM MgCl<sub>2</sub>, 0.6 µL 0.6 mM dNTP, 0.2 µL BSA, 0.08 µL of Taq polymerase  
909 (Promega, Madison, WI), and 1 µL of extracted DNA. The wells in plex A contained 3.50 µL  
910 H<sub>2</sub>O and four primers (10mM) and wells in plex B contained 3.16 H<sub>2</sub>O and five primers  
911 (10mM). Forward primers were fluorescently dye labeled (Life Technologies, Carlsbad, CA).  
912 Both Plex A and B reactions ran for 7 minutes at 95°C, 30 cycles of 95°C for 30 s, 53°C for  
913 30 s, 72°C for 30 s and a final period at 72°C for 10 minutes. Amplified DNA was sent to the  
914 Georgia Genomics Facility at University of Georgia (Athens, GA) to be sequenced on ABI  
915 3730xl capillary DNA sequencer (Applied Biosystems, Carlsbad, CA, USA).

916 Alleles were scored manually using Peak Scanner software (Version 2.0, Applied  
917 Biosystems) and samples that lacked data or were ambiguous at more than half of loci were  
918 excluded from analysis. Of the nine loci amplified in PCR, only five yielded polymorphic  
919 and unambiguous genotypes for 2013 samples (B119 was monomorphic, BTERN01 and  
920 BT28 were ambiguous, and B124 did not amplify). After discarding unusable loci, 70 usable

921 genotypes remained, which were used for further analysis. *Bombus balteatus* genetic data  
922 from the same Pennsylvania Mountain sites in 2008 (n = 112 individual genotypes, accessed  
923 from Geib et al. 2014, 2015) were also included in all analyses for qualitative comparison of  
924 post-drought patterns to those prior to the drought. From these samples, six alleles yielded  
925 polymorphic and non-ambiguous genotypes (B116, B119, and BT28 were monomorphic and  
926 BTERN01 did not amplify). For all analyses, sites within each year were pooled together due  
927 to sample size requirements of the software.

928

#### 929 Nest Abundance and Effective Population Size

930 Bumble bees are eusocial species, with colonies originating from one reproductive  
931 queen, usually via monoandrous mating, leading to 75% relatedness among offspring. As  
932 such, colony abundance rather than that of individual foraging workers is most representative  
933 of the size of the breeding population. Because colonies are nearly impossible to physically  
934 locate in the field, population size is commonly estimated by assigning genetic sibships to  
935 sampled workers (see Knight et al. 2009, Dreier et al. 2014, Geib et al. 2015). To begin  
936 analysis, sisters within each survey year were identified in COLONY (Version 2.0, software  
937 available online). The program estimates the number of nests in the sample and predicts the  
938 number of unsampled nests to find effective population size ( $N_e$ ). It uses a maximum  
939 likelihood algorithm (Wang 2004) which assumes single queen matings, typical for *Bombus*  
940 *balteatus*. Only samples with a minimum of two available loci were included, with a  
941 maximum of six. Colony testing parameters were set for a full-likelihood algorithm for  
942 haplodiploid species. The mating system was described as having no likelihood of inbreeding  
943 or cloning, with monoandrous queens and polyandrous males. Allele frequencies were

944 updated with no prior sibship construction, and each year's dataset was analyzed three times  
945 with a different random seed number to ensure convergence of results.

946

#### 947 Preliminary Analysis

948 In order to ensure markers met assumptions for further testing, probability tests for  
949 departure from Hardy-Weinberg equilibrium (HWE) and linkage disequilibrium were  
950 conducted in GENALEX software for samples from each survey year (Version 6.5, Peakall  
951 and Smouse 2006). Assumptions of single-sample methods require that no genetic  
952 substructure exist within a population (Busch et al. 2007) which can be measured by F  
953 statistics. For both years, and  $F_{ST}$  was calculated in GENALEX to measure the level of  
954 genetic substructure between sites ( $F_{ST}$ ). I used GENALEX to calculate observed  
955 heterozygosity ( $H_O$ ) and mean number of alleles ( $A$ ) for each year to compare general  
956 measures of genetic diversity before and after the 2012 drought.

957

#### 958 Genetic Diversity and Bottleneck Signatures in the *B. balteatus* Population

959 I used a number of different tests to assess evidence for a genetic bottleneck signature  
960 in the genotyped samples of *B. balteatus* from Pennsylvania Mountain.

961

962 *M- Ratio*—The M ratio test (Garza and Williamson 2001) detects a bottleneck via the  
963 relationship  $M=(k/r)$  where  $k$  is the number of alleles and  $r$  is the range in allele size. If a  
964 population experiences a sharp decrease in size, increasing genetic drift would cause  $k$  to  
965 decrease quickly, but  $r$  would only decrease if the smallest or largest allele were lost and at a  
966 slower rate than  $k$ . Under bottleneck conditions, the *M*-ratio would be expected to be smaller

967 in reduced populations than in equilibrium populations. The significance of an observed  $M$   
968 value is determined by comparing it to a range of  $M$  values that are calculated from  
969 theoretical populations in mutation-drift equilibrium. The critical value is the lower 5% of the  
970 distribution.

971         The program M\_P\_VAL (Garza and Williamson 2001) calculates  $M$  ratios from  
972 genotype information including allele size and number. The ratios generated here are  
973 compared to critical  $M$  ( $M_C$ ) values which are generated in the program CRITICAL\_M  
974 (Garza and Williamson 2001). This program requires three input parameters for that estimate  
975 the mutation model for the sample population:  $\theta$  ( $\theta=4N_e\mu$ ),  $p_g$  (percent of mutations that are  
976 larger than single steps), and  $\Delta_g$  (the mean size of the mutations larger than single steps).  
977 Values for  $\theta$  were calculated from known  $N_e$  values estimated in COLONY 2.0 and  $\mu = 5.0$   
978  $\times 10^{-4}$ /locus/generation, a common estimate of microsatellite mutation rate suggested by  
979 Garza and Williamson (2001). Generic values determined by Garza and Williamson (2001)  
980 were used for the other two parameters under the two-phase model (TPM) so that  $p_g = 0.1$  and  
981  $\Delta_g = 2.8$  steps. The simulation consisted of 10,000 iterations.

982

983         *Mode Shift and Heterozygosity Excess*—Two tests were conducted in BOTTLENECK  
984 software (Version 1.2.02, Piry et al. 1999) to look for evidence of recent population  
985 reductions: the mode-shift test and the heterozygosity excess test (Cornuet and Luikart 1996,  
986 Luikart and Cornuet 1998).

987         The mode-shift test is a test for allele frequency distribution. This method determines  
988 if the frequency of alleles represented in the population align to a normal L-shaped

989 distribution that would be expected when alleles are in mutation-drift equilibrium. Under this  
990 equilibrium, the test finds many rare alleles (i.e. <0.1%) that are usually lost during a genetic  
991 bottleneck. When there is a bottleneck, the L-shape distribution will experience a mode-shift  
992 where the allele proportions of rare vs. common alleles is lost. Although the mutation model  
993 of a marker has a strong effect on the estimation of heterozygosity excess, it does not distort  
994 the allele frequencies distribution measured in the mode-shift test because rare alleles are  
995 expected to be abundant regardless of the mutation model (Nei et al. 1976).

996 The second test conducted, the sign test, used allele frequency data to look for heterozygosity  
997 excess (Nei et al. 1975). If a bottleneck occurred, the mutation-drift equilibrium that would  
998 normally equal the measured HWE heterozygosity ( $H_e$ ) would be disrupted and the  
999 heterozygosity at a locus would exceed the heterozygosity computed from the number of  
1000 alleles sampled. In short, the test would reveal a deviation from 50:50 heterozygosity  
1001 deficiency/excess. There are three mutation models available when computing the sign test:  
1002 the infinite allele model (IAM), stepwise mutation model (SMM), and the two-phase model  
1003 (TPM), which is a combination of IAM and SMM with configurable percentages and  
1004 variance of each model. Prior research suggests that the mutation model of most  
1005 microsatellites follows the intermediate TPM model (Di Rienzo et al. 1994), while others  
1006 have argued that microsatellites more closely follow the SMM (Luikart and Cornuet 1998).  
1007 Still, other published methods that use markers within *Bombus* have used the IAM and argue  
1008 that microsatellites in bumble bees do not follow the SMM (Estoup et al. 1995, Shao et al.  
1009 2004). Since the question of most appropriate mutation model for bumble bees is unresolved  
1010 in the literature, I conducted the test under all three models, with TPM parameters set at  
1011 variance = 10,  $p_g = 0.1$ , or 10% (Ellis et al. 2006). The standardized differences test is not

1012 reported because it requires a minimum of 20 polymorphic loci and only 6 loci reliably  
1013 amplified for use.

1014

1015 Results

1016

1017 Nest Abundance

1018 Sample years 2008 and 2013 yielded 112 and 70 complete genotypes, respectively. In  
1019 the 2008 sample set of 112 individuals, all sisters were removed leaving 98 representatives of  
1020 singular nests for further analysis. In 2013, only three sisters were found out of 70, resulting  
1021 in 67 nest representatives. Calculations from COLONY 2.0 software estimated 350 nests in  
1022 2008 (95% CI [195, 364]) and 265 nests in 2013 (95% CI [140,305])(see Fig. 2).

1023

1024 Preliminary Analysis

1025 No significant linkage disequilibrium ( $P > 0.05$ ) was found within genotypes of either  
1026 year. No significant departure from Hardy-Weinberg Equilibrium (HWE) was detected in the  
1027 2008 population in either global test by population or by locus. In the 2013 samples, only the  
1028 BL11 marker deviated significantly ( $P = < 0.001$ ), but because it was in equilibrium in the  
1029 2008 year it could still be used for the heterozygosity excess tests (Busch et al. 2007) (Table  
1030 1).

1031 The F-statistics tests reveal low fixation indexes across alleles for both years,  
1032 suggesting there is enough gene flow in the area to prevent the formation of a strong  
1033 substructure. The mean  $F_{ST}$  value in 2013 was 0.043, which indicates very little genetic  
1034 differentiation among the population. By comparison, 2008 had a mean  $F_{ST}$  value of 0.033,

1035 slightly less differentiation than in 2013 (Table 2). General measures of  $H_O$  and number of  
1036 different alleles are described in Table 3. The markers in 2013 revealed an average of 13  
1037 alleles per locus compared to 10.3 in 2008.

1038

1039 Genetic Diversity and Bottleneck Signatures in the *B. balteatus* Population

1040 *M-Ratio*—In the 2013 post-drought year, no loci experienced a drop in the *M*-ratio  
1041 and values ranged between 0.705 and 1.20 (Table 4). The specific critical *M* value calculated  
1042 by the software ( $M_C = 0.695$ ) was higher than the upper-limit suggested by Garza and  
1043 Williamson, so calculated *M*-values were only compared with the generic value ( $M_C = 0.68$ ).  
1044 All loci exceed the critical value and no bottleneck was detected.

1045 In comparison, the 2008 pre-drought year had high *M*-values that ranged between  
1046 0.684 and 1.125. All loci exceeded both the generic critical *M* value determined by Garza and  
1047 Williamson ( $M_C=0.68$  and the specific critical *M*-value determined by the software ( $M_C=$   
1048 0.712) and no bottleneck was detected.

1049

1050 *Mode Shift and Heterozygosity Excess*—The BOTTLENECK software did not detect  
1051 a mode shift for neither 2013 nor 2008 populations. Both of the allele frequencies for these  
1052 populations were in a normal L-shaped distribution, despite the expectation that many rare  
1053 alleles would be lost after the 2012 drought. The heterozygosity excess test which outputs a  
1054 deficiency:excess ratio value detected no evidence of a bottleneck in either of the years  
1055 (Table 5). In 2013, samples exhibited no heterozygosity excess under any of the models. The  
1056 sign test revealed a 2:3 ratio under the IAM ( $P = 0.650$ ), 3:2 under the TPM ( $P = 0.317$ ), and  
1057 a 5:0 ratio under the SMM ( $P = 0.0127$ ). If a genetic bottleneck existed in 2013, we would

1058 expect to see significant heterozygosity excess, but surprisingly we see a large heterozygosity  
1059 deficiency under the SMM model. In comparison, the 2008 the sign test revealed a 1:5 ratio  
1060 under the IAM ( $P = 0.24$ ) and TPM ( $P = 0.23$ ), and a 3:3 ratio under SMM ( $P = 0.48$ ) and no  
1061 bottleneck was detected.

1062

1063

## Discussion

1064

1065         The available information and data for the pre-drought *B. balteatus* community  
1066 served as a useful baseline for post-drought community comparisons. Often times, research  
1067 into population bottlenecks is conducted only after a suspected bottleneck has already  
1068 occurred, usually without pre-bottleneck demographic or genetic data to make comparisons  
1069 (Whitehouse and Harley 2001, Sinclair et al. 2002). Because data is available for the  
1070 Pennsylvania Mountain *Bombus* community, we had the unique opportunity to compare a  
1071 “normal” demographic year against a year where there was a known population contraction. I  
1072 utilized three tests to search for evidence of a corresponding genetic bottleneck immediately  
1073 after a known population contraction and with specific parameters for the microsatellites  
1074 used. I hypothesized that I would be able to find genetic evidence that the 2013 *B. balteatus*  
1075 population had recently suffered severe declines, but tests failed to detect any bottleneck  
1076 event. Tests showed that the 2008 comparison year did not experience a recent bottleneck  
1077 event.

1078

1079

1080

## 1081 Nest Abundance and Effective Population Size

1082           Assessing nest abundance is of particular importance when estimating the health of  
1083 the *Bombus balteatus* community, as it directly reflects the assumed number of breeding  
1084 individuals. While estimates for forager abundance may be beneficial when looking at  
1085 benefits to host plants, nest abundance represents the number of individuals that are able to  
1086 reproduce and replenish new colonies in the community. These estimates are useful when  
1087 addressing conservation concerns and population management, such as monitoring managed  
1088 and wild bumble bee populations.

1089           Although many studies highlight the disproportionate decline of long-tongued bees  
1090 compared to short-tongue bees (Colla and Packer 2008, Goulson et al. 2015), the *Bombus*  
1091 *balteatus* population at Pennsylvania Mountain seems have a mechanism to recover quickly  
1092 from such a catastrophic population contraction and may contradict predictions for  
1093 worldwide decline. Nest estimates suggest that although 2013 abundance was slightly less  
1094 than 2008, number of nests was still comparable and surprisingly high considering estimates  
1095 in the year prior were effectively zero. Despite the optimistic recovery of nests following the  
1096 2013 drought year, further sampling should be conducted on Pennsylvania Mountain to  
1097 monitor if this influx of colonies can be self-sustaining. If populations are unable to maintain  
1098 high levels of  $N_e$  in the future, the population may become susceptible to inbreeding local  
1099 extinction (Ellis et al. 2006, Whitehorn et al. 2009).

1100

## 1101 Genetic Diversity and Bottleneck Signatures in the *B. balteatus* Population

1102           *M-Ratio*—There may be several reasons as to why the *M*-ratio test did not detect a  
1103 bottleneck. The *M*-ratio analyzes values of number of alleles per locus and the range in allele

1104 size. A neutral allele being lost due to genetic drift is related to its frequency, and rare alleles  
1105 are lost more than common alleles. The assumption behind this test is that allele frequency  
1106 distributions are rarely bell-shaped, and it is unlikely for the rarest alleles to always be the  
1107 largest or smallest in a range of allele sizes. Therefore number of alleles will decrease more  
1108 rapidly than the range of allele sizes (Garza and Williamson 2001). Because of this  
1109 assumption, rapid immigration and replacement or addition of new alleles will maintain high  
1110 ratio values (Williamson-Natesan 2005). Rapid immigration of individuals onto Pennsylvania  
1111 Mountain and the prompt replacement of alleles would have disguised any evidence of a  
1112 bottleneck. This test also has limited power directly after a bottleneck and is best conducted  
1113 more than one generation after the bottleneck event (Peery et al. 2012).

1114

1115 *Mode Shift and Heterozygosity Excess*—Although a mode shift is a commonly used  
1116 method for detecting a bottleneck signature (Cornuet and Luikart 1996, Piry et al. 1999),  
1117 prior research has found this test to have very low type I error and very high type II error  
1118 (Williamson-Natesan 2005). It is less likely to detect a bottleneck when mutation rates are  
1119 high ( $\alpha = 20$ ) and when mutations are large ( $P_g = 0.2$ ), and adding more loci does not  
1120 correct for this, leaving this test a very conservative estimate of a bottleneck. Busch et al  
1121 (2007) used the mode shift test on populations of kangaroo rats after a known bottleneck  
1122 occurrence, and the test failed to detect a mode shift in pre- and post- bottleneck years. They  
1123 determined that while five alleles were lost, four were gained, possibly due to migration, and  
1124 that this infusion of alleles may have caused the test to maintain a normal L-shaped  
1125 distribution despite the bottleneck. This may be the reason that no bottleneck signature was

1126 detected in 2013, as there were on average 13 alleles per locus in 2013, and compared to an  
1127 average of 10.3 per locus in 2008.

1128         The heterozygosity-excess test did not detect a bottleneck in 2013. However, it  
1129 presents a clear explanation as to why 2013 has not retained a bottleneck signature. If there  
1130 was a bottleneck, the test would reveal heterozygosity excess based on observed and  
1131 expected measurements of heterozygosity. However, under the SMM model, the test  
1132 indicates a significant heterozygosity *deficiency*, which is predicted to occur under rapid  
1133 population expansions (Cornuet and Luikart 1996). If a rapid input of alleles from population  
1134 replacement did occur in 2013, it would explain why the *M* ratio test maintained high ratio  
1135 values and why a mode shift did not occur in allele distribution. Of course, this significance  
1136 is only seen following one of the mutation models, and IAM and TPM maintained non-  
1137 significant ratio values that suggest there is neither an excess nor deficiency. In comparison,  
1138 the *Bombus balteatus* population from 2008 had *M* ratio values above critical *M* values, no  
1139 mode shift in allele frequency, and no heterozygosity excess or deficiency.

1140         When a known demographic bottleneck occurs, there may or may not be a resulting  
1141 genetic bottleneck signature (Holland 2001, Schmid-Hempel et al. 2007). However, problems  
1142 arise when there is a genetic bottleneck that is simply unable to be detecting using traditional  
1143 testing methods. If a false negative occurs, populations could be incorrectly considered to be  
1144 in good health or in HWE. This may present confusion in conservation efforts or in  
1145 determining how population contractions will affect species in the future. A similar study  
1146 documents a scenario in which a demographic bottleneck in two banner-tailed kangaroo rat  
1147 populations was unaccompanied by a genetic bottleneck signature (Busch et al. 2007). The  
1148 study utilized extensive background demographic information, eight microsatellite markers,

1149 and used the three testing methods described above. One population fell to 20% of stable  
1150 population size, and the other was much more severe: a 10-year, 6-generation decline that  
1151 spanned two orders of magnitude. Despite having species-specific parameters for tests  
1152 (mutation rate,  $N_e$  and  $p_g$ ) none of the tests were able to detect a corresponding genetic  
1153 bottleneck. The authors expect that a combination of high mutation rates, undetected  
1154 immigration, and a demography marked by stochastic fluctuations interacted together to  
1155 confound bottleneck analysis. Similarly in this study, there may be several unforeseen  
1156 attributes of bumble bees and their population dynamics, as well as statistical constraints of  
1157 the testing methods, that may disguise a bottleneck signature. These alpine species may have  
1158 some mechanism to recover from the population bottleneck and may be able to tolerate short-  
1159 term, random, extreme weather events. The mountaintops that were thought to act as isolated  
1160 “islands” may not, in fact, be so. Dispersal ability across inter-peak valleys and large swaths  
1161 of pine forest may allow for increased gene flow between subpopulations. These scenarios  
1162 would help to explain why a bottleneck was undetectable, as bottleneck signatures are rarely  
1163 retained in mainland populations (Cutrera et al. 2006, Putman and Carbone 2014).

1164         Furthermore, the testing methods used are dependent on a variety of conditions to  
1165 have high statistical power. Power of the tests used in BOTTLENECK depends on the time  
1166 elapsed since the bottleneck, and are most powerful at 2-4  $N_e$  generations post-population  
1167 bottleneck (Nei and Li 1976, Luikart and Cornuet 1998). This can leave a period where a  
1168 false negative, or type II error, is more likely to occur. Power of all testing methods is also  
1169 affected by the severity of the bottleneck, number of sampled individuals, and number of loci  
1170 used in analysis. Although minimum requirements were met for number of sampled  
1171 individuals in BOTTLENECK, many had poor genotyping success and the number of

1172 useable loci was low (maximum of six used in all analyses) (Luikart et al. 1998b, Cristescu et  
1173 al. 2010). Interestingly, reviews of the heterozygosity excess test used in BOTTLENECK  
1174 reveal that increasing the amount of loci used exacerbates the type I error that may occur  
1175 (Williamson-Natesan 2005, Peery et al. 2013). The  $M$ -ratio test loses statistical power when  
1176 pre-bottleneck  $\theta$  is small, the bottleneck is short in duration, or when the population is  
1177 sampled directly after a bottleneck (Williamson-Natesan 2005). Considering the similarities  
1178 between the constraints of the  $M$ -ratio test and our post-bottleneck sampling conditions, this  
1179 may further explain why the test failed to detect a bottleneck.

1180         Not only are the testing methods reliant on situational parameters, they are dependent  
1181 on the assumptions of microsatellite evolution to generate expected allele distributions for  
1182 statistical accuracy (Luikart and Cornuet 1998, Garza and Williamson 2001). Three mutation  
1183 models (IAM, TPM, and SMM) were used in this analysis, although which one is most  
1184 reliable is relatively unknown. While microsatellites are generally believed to mutate  
1185 following a TPM with the addition or loss of a single repeat, they may tend toward one  
1186 extreme more than the other, and there are a small proportion of mutations that result in  
1187 addition or loss of a larger number of repeats (Di Rienzo et al. 1994). To increase power of  
1188 these tests, it is important that the estimate of number of multi-step repeats ( $p_g$ ) as well as the  
1189 mean size of the multi-repeat ( $\delta_g$ ) is relatively accurate (Williamson-Natesan 2005). Errors in  
1190 the assumption of these parameters can lead to a variety of false inferences from bottleneck  
1191 tests (see: Luikart and Cornuet 1998, Piry et al. 1999, Garza and Williamson 2001,  
1192 Williamson-Natesan 2005).

1193         In order to account for constraints of testing strength, further research should be done  
1194 to measure how population contractions may impact populations or reveal a genetic

1195 bottleneck more than one generation (or season) afterwards. Surveys in 2014-2016 may  
1196 possess stronger ability to detect a bottleneck. To increase sensitivity of testing ability, it may  
1197 also be useful to develop microsatellite markers for *Bombus* that are imperfect repeat loci.  
1198 Their ability to create a more powerful analysis has been noted in Cornuet and Luikart (1996)  
1199 and suggested in several reviews of these testing methods (Cristescu et al. 2010, Peery et al.  
1200 2013). Monitoring efforts should continue at this site to look for long-term impacts of climate  
1201 change and assess how alpine pollinators may persist through extreme weather events and  
1202 maintain genetic variability after local populations collapse.

1203 **Table 1:** Test for departure from Hardy-Weinberg Equilibrium

1204 Key: ns=not significant, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001

<b>Year Population</b>	<b>Locus</b>	<b>DF</b>	<b>ChiSq</b>	<b>Prob</b>	<b>Signif</b>
2008	B124	36	33.808	0.573	ns
2008	BL13	78	94.524	0.098	ns
2008	BT10	36	49.836	0.062	ns
2008	B10	45	44.657	0.486	ns
2008	B96	91	77.255	0.847	ns
2008	BL11	21	24.843	0.254	ns
2013	BL13	66	61.833	0.623	ns
2013	BT10	66	64.166	0.541	ns
2013	B10	91	60.570	0.994	ns
2013	B96	105	91.970	0.814	ns
2013	BL11	66	192.243	0.000	***

1205

1206 **Table 2:** Analysis of Molecular Variance for 2013  $F_{ST}$   
 Summary AMOVA Table

Source	df	SS	MS	Est. Var.	%
Among Populations	3	16.024	5.341	0.095	5%
Among Individuals	63	154.469	2.452	0.461	22%
Within Individuals	67	102.500	1.530	1.530	73%
Total	133	272.993		2.086	100%

1207

F-Statistics	Value	P (rand $\geq$ data)
Fst	0.046	1209 0.005
Fis	0.232	1210 0.001
Fit	0.267	1201 0.001

1212

1213 **Table 3:** General measurements of observed heterozygosity ( $H_o$ ) and number of different  
 1214 alleles per locus (A).

	2013		2008	
<b>Locus</b>	<b><math>H_o</math></b>	<b>A</b>	<b><math>H_o</math></b>	<b>A</b>
<b>B124</b>	NA	NA	0.765	9
<b>BL13</b>	0.718	12	0.816	13
<b>BT10</b>	0.949	12	0.796	9
<b>B10</b>	0.746	14	0.714	10
<b>B96</b>	0.889	15	0.857	14
<b>BL11</b>	0.688	12	0.745	7

1215

1216 **Table 4:** *M*-Ratio Values and *M* Critical ( $M_c$ ) values at each locus

<b>Population</b>	<b>Ne</b>	<b>k</b>	<b>r</b>	<b>Theta</b>	<b>Locus</b>	<b>M-ratio</b>	<b><math>M_c</math></b>
<b>2008</b>	693.75	9	8	2.775	B124	1.125	.712
		13	19		B113	0.684	
		9	10		BT10	0.9	
		10	12		B10	0.833	
		14	16		B96	0.875	
		7	7		BL11	1	
<b>2013</b>	<b>727.57</b>	<b>k</b>	<b>r</b>	<b>Theta</b>	<b>Locus</b>	<b>M-Ratio</b>	<b><math>M_c</math></b>
		12	17	2.91	B113	0.705	.695
		12	13		BT10	0.923	
		13	13		B10	1	
		15	13		B96	1.153	
		12	10		BL11	1.2	

1217

1218 **Table 5:** Heterozygosity excess test from BOTTLENECK 2.0 and P values for ratio  
1219 significance from 50:50

<b>Year</b>	<b>Mutation Model</b>	<b>Ratio (Deficiency: Excess)</b>	<b>Probability</b>
2013	IAM	2:3	0.65
	TPM	3:2	0.32
	SMM	5:0	0.01
2008	IAM	1:5	0.24
	TPM	1:5	0.23
	SMM	3:3	0.48

1220

1221

### Figure Legends

1222 **Figure 1.** Aerial image of Pennsylvania Mountain, Mosquito Range, Park County, Colorado.

1223 Pennsylvania Mountain Natural Area is located on the southern half of the mountain.

1224 **Figure 2:** *Bombus balteatus* “effective population size”( $N_e$ ). Error bars represent the 95%

1225 confidence interval.

1226 **Figure 3:** Capture rate of foraging worker bumble bees, as a proxy for absolute abundance,

1227 during semi-annual surveys at Pennsylvania Mountain, Park County, CO. Columns represent

1228 means of sites sampled each year ( $n = 4, 2,$  and  $4$  for 2008, 2011, and 2012, respectively).

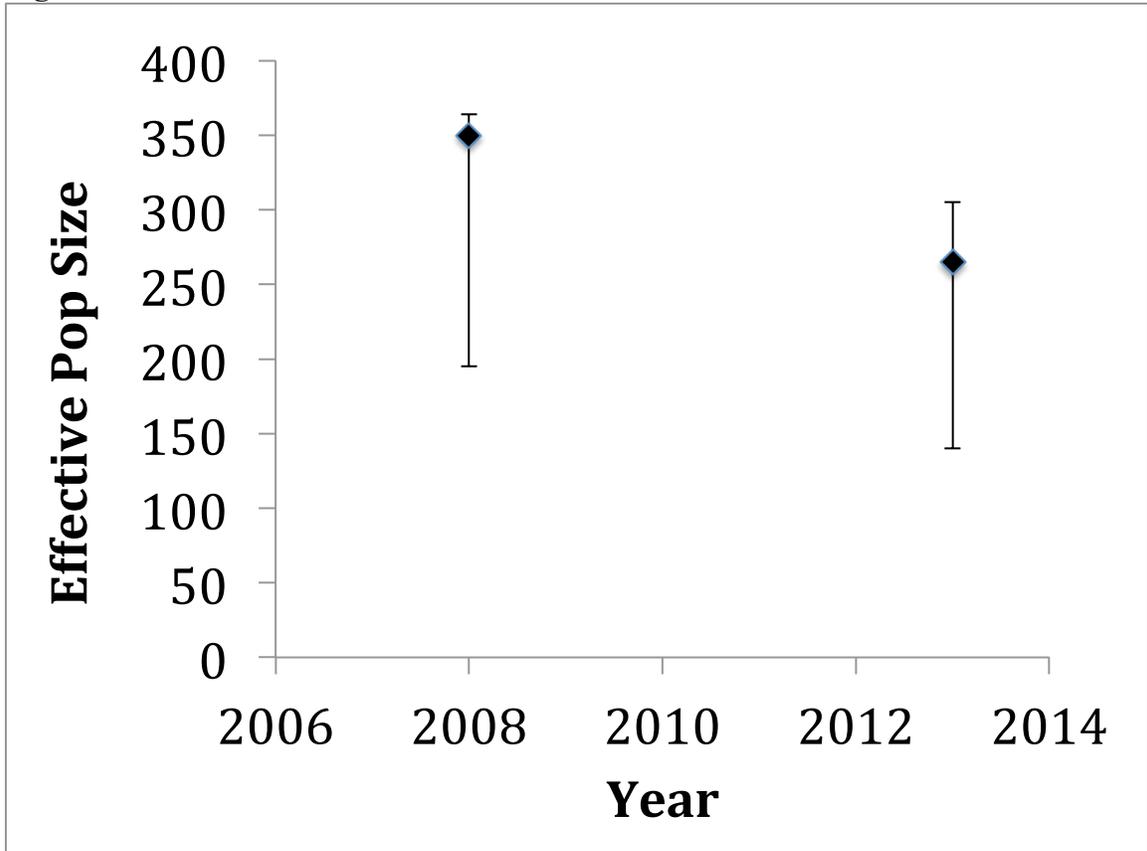
1229 Error bars are standard error. (Geib and Galen, *unpublished data*).

1230 **Figure 1.**



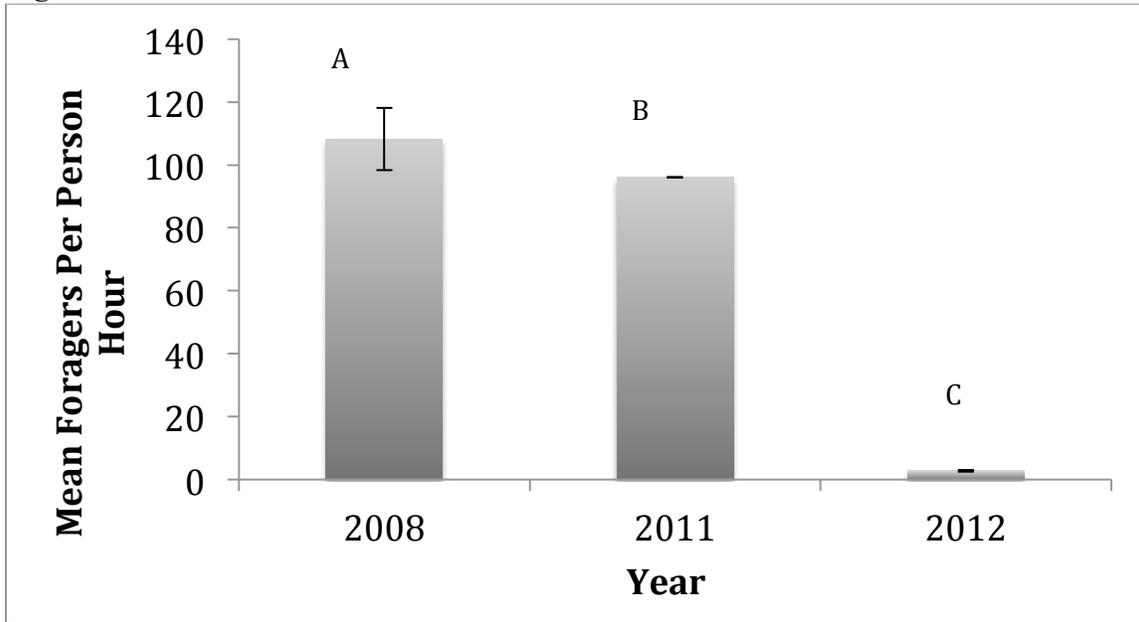
1231

1232 **Figure 2.**



1233

1234 **Figure 3.**



1235

1236 **Chapter 4**

1237 GENERAL CONCLUSIONS

1238 As pollinator abundance and diversity continue to decline worldwide (Gixti et al.  
1239 2009, Goulson et al. 2015), it is imperative that research is conducted to understand how  
1240 pollinator communities will respond to shrinking numbers and increasing threats from  
1241 climate change, pesticide use, and habitat degradation (Goulson et al. 2005). In the preceding  
1242 chapters, I contribute to this body of understanding by taking advantage of an opportunity to  
1243 examine recovery of alpine bumble bees after a severe population contraction on  
1244 Pennsylvania Mountain. The *Bombus* community at this site was thought to experience  
1245 geographical isolation from other mountaintop communities due to large inter-peak valleys,  
1246 high winds, and large conifer stands fragmenting populations, however this research reveals  
1247 that there may be much higher levels of immigration and dispersal than expected. In a world  
1248 that is increasingly fragmented by changes in land use, understanding how pollinators can  
1249 persist is very useful in developing conservation and management policies.

1250 Despite the observed collapse of the *Bombus* pollinator community on Pennsylvania  
1251 Mountain, it is clear that these bumble bees have some mechanism to recover from short-  
1252 term population contractions. In Chapter 2, I used a range of measurements to compare the  
1253 post-drought *Bombus* community to pre-drought measurements and found no evidence to  
1254 suggest that the population experienced any

1255 lasting effects from the 2012 drought. This year had very high rates of capture, and while  
1256 number of foragers is not particularly indicative of the overall population (sterile workers  
1257 will not produce any offspring) it is a very important measurement when assessing impacts to  
1258 host plants.

1259         The 2012 drought provided a unique opportunity to study how the collapse of a  
1260 pollinator community would affect plant fecundity in the following year. In alpine  
1261 ecosystems, many plants are exceptionally long-lived perennials (Billings and Mooney  
1262 1968). The trade offs for such lengthy lifespans are low recruitment and low offspring  
1263 survival rates, a strategy that protects the plant population from occasions where sexual  
1264 reproduction is resourced limited (Silvertown et al. 1993). The apparent absence of  
1265 pollinators during the 2012 drought may not have had major impacts the overall plant  
1266 population, but may have been a source of pollen limitation for new seed production and  
1267 recruitment. In years of such extreme drought, plant reproduction may be more restricted by  
1268 water resources, and plants may have low fecundity regardless of pollen availability (Herrera  
1269 1991).

1270         In Appendix A, I specifically investigate possible carry over effects for two species of  
1271 linked clovers, *Trifolium dasyphyllum* and *T. parryi*. These are ecologically important plants  
1272 because they are a few of the only nitrogen fixing plants that exist above tree line, Low  
1273 nitrogen availability is characteristic of alpine and high-elevation ecosystems, as cold soil  
1274 and air temperatures restrict transformation rates of organic matter (Makarov et al. 2011).  
1275 *Trifolium dasyphyllum* is a generalist with most *Bombus* species throughout the season, while  
1276 *T. parryi* is considered a specialist with *B. balteatus* (studied specifically in Chapter 3) in the  
1277 early flowering season (Geib and Galen 2012). The results indicate that host plants received

1278 adequate pollination services in the 2013 post-drought year, which is not particularly  
1279 surprising considering the rapid repopulation of Pennsylvania Mountain that same year. If the  
1280 *Bombus* population contraction was followed by very slow recovery or caused certain species  
1281 (especially the long-tongued *B. balteatus*) to become extirpated, we may have seen much  
1282 lower plant fecundity and recruitment. While one season of failed seed production may only  
1283 be a drop in the bucket for these alpine plants, predictions for increasingly frequent droughts  
1284 may begin to impact the overall population in future decades.

1285         Although many alpine plants have developed a strategy to persist through stochastic  
1286 events that may inhibit seed production for entire seasons, most plants have not developed  
1287 the luxury of such long lifespans. There are large gaps in our knowledge regarding  
1288 consequences to plants when pollinators are absent, and most recent research has focused  
1289 primarily on the losses of honey bees (*Apis mellifera*) (Goulson et al. 2015). There is,  
1290 however, some emerging data specifically connecting the losses of pollinators to the decline  
1291 of host plants. Beisemeijer et al. (2006) found a casual link between local extinctions of  
1292 functionally linked plants and pollinator species in Great Britain and the Netherlands.  
1293 The agricultural industry has experienced increases in food production costs due to shortfalls  
1294 in the availability of pollinators. For example, California almond production has become  
1295 noticeably more expensive due to shortages of mobile honey bee colonies (Watanabe 1994).

1296         In Chapter 3 I focus on the keystone pollinator *B. balteatus* in part to its historical  
1297 dominance of this area and because it is the only long-tongued pollinator found on  
1298 Pennsylvania Mountain (Macior 1974) able to pollinate plants with deep corollas. I used  
1299 molecular genetic techniques to estimate number of nests and search for evidence of a  
1300 genetic bottleneck resulting from the population contraction in 2012. While forager

1301 abundance is important for plants, estimating genetic diversity within a population is  
1302 important for species continued fitness (Darvill et al. 2006, Whitehorn et al. 2009). Despite  
1303 the severity of the 2012 population decline, no evidence of a bottleneck was found,  
1304 supporting the idea that alpine bees may have developed mechanism for long range dispersal  
1305 and immigration (Lepais et al. 2010, Geib et al. 2015).

1306 Further research must continue to monitor pollinator abundance and measure how  
1307 pollinators will adapt, persist, or perish through increased climatic or anthropomorphic  
1308 pressures. Long-term studies should be conducted to look at possible lag-time affects  
1309 following seasonal population declines. Linked plants requiring pollination services for  
1310 reproduction should be investigated in order to form predictions for future populations and  
1311 create management and conservation strategies to prevent further loss of diversity and  
1312 richness.

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1644

## Appendix A: Benefits To Host Plants

1645

1646

1647           Pollination mutualisms are important ecosystem functions that provide plants with  
1648 cross-pollination benefits while producing food rewards for pollinators in the form of nectar  
1649 or pollen. Recent estimates in pollination services state that around 80% of wild plant species  
1650 and 35% of agricultural plants depend on pollinators for fruit and seed set (Food and  
1651 Agriculture Organization of the United Nations). In fact, the most common proximate cause  
1652 of reproductive failure in studied wild plants has been pollination limitation, and direct result  
1653 of lack of pollinators (Colling et al. 2004, Elliott 2008).

1654           In 2012, the intermountain western US experienced a severe drought that resulted in  
1655 drastic contractions in populations of bumble bees surveyed at Pennsylvania Mountain  
1656 Natural Area, Park County, CO. Bumble bees are keystone pollinators in alpine tundra  
1657 ecosystems (Lundberg 1980, Geib and Galen 2012) and can pollinate twice as fast as many  
1658 co-pollinators, such as solitary bees, flies, and hummingbirds (Pleasants 2009). It is unclear  
1659 how quickly benefits provided to alpine plants from bumble bee pollination will recover  
1660 following the drought-mediated reductions of native *Bombus* populations. If reduced  
1661 population sizes carry over to subsequent years, effects could cascade to *Bombus*-dependent  
1662 alpine flora. I tested this prediction by examining pollination services and resultant fitness  
1663 one year following the drought for two related and ecologically important alpine clover  
1664 species that differ in pollination niche breadth: *Trifolium parryi*, a *Bombus* species specialist  
1665 and *T. dasyphyllum*, a broad generalist for pollination. Prior studies have documented that

1666 fitness and recruitment of *Bombus*-specialized *T. parryi* plants vary with abundance of their  
1667 primary pollinators at small and large spatial scales (Geib and Galen 2012, Geib et al. 2015),  
1668 while benefits to *T. dasyphyllum* are independent of variation in abundance of any one  
1669 species. Quantitative models of the relationships predict dramatic loss of pollination services  
1670 to *T. parryi* at very low pollinator densities. However, the availability of non-*Bombus* co-  
1671 pollinators should mediate the outcomes for either clover (Geib and Galen 2012).

1672

1673 Methods

1674

1675 Study site and system

1676 Data for this study were collected at Pennsylvania Mountain Natural Area

1677 (“Pennsylvania Mountain”), Park County, CO, in June - July 2013.

1678 *Trifolium dasyphyllum* and *T. parryi* (Fabaceae, subfamily Faboideae) are closely

1679 related native clovers (Ellison et al. 2006), abundant in the central Rocky Mountains (USA)

1680 from tree line upward. On Pennsylvania Mountain (Park Co., CO), they flower prolifically

1681 from snowmelt in June to late August. *T. dasyphyllum* are cushion-like clover plants that

1682 produce an average of eight flower heads per plant, and favor dry, open meadows. In

1683 contrast, *T. parryi* does not maintain a cushion-like structure, produces only one to two

1684 flower heads, and is more commonly found in moister snow bed environments. They coexist

1685 where the boundaries of these two habitats meet.

1686 These clovers are of particular ecological importance because they are responsible for

1687 a wide range of annual nitrogen inputs from fixation (Bowman et al. 1996). Nitrogen is a

1688 limiting key nutrient in alpine tundra regions, as low average temperatures limit the amount

1689 of biological activity that can occur through nitrogen fixing symbiotic bacteria (Billings and  
1690 Peterson 1974). This increases the biological importance of *Trifolium* species, which have  
1691 been measured to have a 100 fold nitrogenase activity compared with non-legumes  
1692 (Holzmann and Haselwandter 1988).

1693 Both clover species are obligate outcrossers with gametophytic self-incompatibility  
1694 (Dhar et al. 2006). *T. dasyphyllum* and *T. parryi* depend mainly on *Bombus* for pollination  
1695 (Macior 1974). *T. dasyphyllum* has a shorter flower tube and is extensively visited by *B.*  
1696 *balteatus* (a long-tongued species) and *B. sylvicola* (short-tongued). In contrast, *T. parryi*  
1697 (longer-tubed) is visited almost exclusively by *B. balteatus* queens, and has found to be  
1698 visited more often by *B. balteatus* than *T. dasyphyllum* clovers when in mixed patches.  
1699 Solitary bees and flies also visit both clovers late in the summer and contribute significantly  
1700 to seed set (Geib and Galen 2012).

1701

## 1702 Field Methods

1703 Three sites on the southern half of Pennsylvania Mountain were chosen for the study,  
1704 two in the krumholtz region below tree line (at 39°15'2"N 106°6'51.3"W and 39°15'177"N  
1705 106°6'866"W at approximately 3450 m), and a sprawling site on a high elevation slope  
1706 above tree line (39°15'14.9"N 106°7'27.5"W at approximately 3740 m). Within each site, I  
1707 established ten plots (2m by 2m) that contained at least five plants of each clover species.  
1708 Due to the lack of mixed plots at the high elevation slope, additional single species plots  
1709 were identified that contained at least 5 plants of the same species for a total of 20 plots (10  
1710 *T. dasyphyllum*, 10 *T. parryi*). Plants within each plot were randomly assigned to one of  
1711 three treatment groups:

- 1712 • Open pollinated plants (O;  $n = 3$  plant per plot at each site) were left open to natural  
1713 visitors to assess fecundity attributed to background rates of pollination.
- 1714 • Hand pollinated plants (HP;  $n = 1$  plant per plot at each site) received hand  
1715 pollination of a marked flower head daily until all florets had senesced to determine  
1716 maximum potential fecundity for each patch.
- 1717 • *B. balteatus* queen exclusion plants (EX;  $n = 1$  plant per plot at each site) were fitted  
1718 with a cage that excluded the clovers' shared pollinator, queens of *Bombus balteatus*,  
1719 to assess the contribution of co-pollinators to fecundity. The *B. balteatus* queen  
1720 exclusion cages were made of wire hardware cloth (64 X 64 mm mesh), too small for  
1721 *B. balteatus* queens to pass through but passible to smaller bumble bees and a variety  
1722 of solitary bees, wasps, and ants.

1723 After the flowers had senesced, one pistil from each flower head was collected and  
1724 stored in a fixative containing a 3:1 mix of ethanol and glacial acetic acid for later assay of  
1725 pollination. The remaining flower head was wrapped in mesh in order to collect any seeds  
1726 that would develop throughout the rest of the season. Plastic mesh cages were placed around  
1727 all flowers to protect them from elk and other grazers. The flower heads were collected in  
1728 October and returned to Appalachian State University to be analyzed for seed set. However,  
1729 catastrophic losses from herbivory, grazing and anthropogenic causes significantly reduced  
1730 sample sizes.

1731 Pistils were cleared with 8 M NaOH for 24 hours, rinsed, and stained with  
1732 decolorized aniline blue due. Pollen tubes were not successfully visualized, but stigma  
1733 pollen grains were quantified using a microscope (Olympus XI81) with epifluorescence  
1734 capabilities. However, stigma pollen grains are not a reliable indication of insect pollination

1735 service for clovers, due to the tendency of clovers to allow self-pollen to germinate at least  
1736 partway down the style.

1737           Flowers were dissected, and seeds and fruits were counted from each sample. Plant  
1738 fecundity was measured by dividing the number of seeds produced by the number of florets  
1739 on the flower head. As subsequent seed production is seen as a pollination benefit to these  
1740 plants, we could compare fecundity of plants between the different treatments, between sites,  
1741 and between species.

#### 1742 Statistical Analysis

1743           Statistical analysis was conducted in JMP (Version 22; SAS Institute) using analysis  
1744 of variance (ANOVA) to test for model effects of site location and treatment on pollination  
1745 benefits to each species using plot as unit of analysis. Raw data from the experiment had  
1746 non-normal distribution patterns and were square-root transformed. Plant treatment (hand  
1747 pollinated, HP; open pollinated, O; or excluded from *B. balteatus* queens, EX), site ( $n = 3$ ),  
1748 and treatment by site interactions were the fixed effects of the model. Both plant fecundity  
1749 (seeds/flower) and fruit set (fruits/flower) was tested under this model.

1750

#### 1751 Results

1752

1753           The impact of site and treatment on plant fecundity and fruit production differed  
1754 slightly between species. The site in which the plots were situated had a significant effect on  
1755 both seed set and fruit set (*T. parryi* fruit/flower:  $F(2,67) = 3.50$ ,  $P = 0.0359$ , seed/flower  $F$   
1756  $(2,67) = 3.60$ ,  $P = 0.0329$ , *T. dasphyllum* fruit/flower:  $F(2, 65) = 9.77$ ,  $P = 0.0002$ ,

1757 seed/flower  $F(2,65) = 9.97, P = 0.0002$ ). In both species, there were neither significant  
1758 effects from pollination treatment nor interaction effects from site x pollination treatment.

1759 *T. dasyphyllum* produced essentially no seeds above tree line (slope site) and sites  
1760 below treeline (ridge and logging road), *T. dasyphyllum* set significantly more seed. There  
1761 were no differences in seed set per flower between treatments. *T. parryi* experienced lowest  
1762 seed set at the ridge, significantly different from seed set at the logging road site.

1763

1764 Discussion

1765

1766 Our main objective for this study was to test for evidence of pollination limitation in  
1767 early-flowering mixed clover patches one year following drought-mediated declines in  
1768 bumble bee population abundances. We found no evidence for pollination limitation;  
1769 fecundity for open-pollinator clovers at all sites demonstrated that limitation of seed set due  
1770 to access to pollinators was not an issue, even just one year following drought-mediated  
1771 population reductions of all bumble bee species.

1772 For *T. parryi* at all sites (ridge, slope and logging road) seed set was the same for  
1773 excluded, open, and hand pollinated plants. *Trifolium parryi* experienced lowest seed set at  
1774 the ridge site, which is consistent with the tendency for this species to favor moister, more  
1775 sheltered habitats such as on the logging road protected by large willow patches and the slope  
1776 site that receives snow bed runoff well into summer.

1777 *T. dasyphyllum* had significantly lower seed set at the high elevation slope site. A  
1778 possible explanation for decreased seed set may be that plants aborted seed production due to  
1779 lack of resources or incompatible pollen.

1780 Hand pollination was expected to serve as a control for maximum plant seed set when pollen  
1781 deposition was not acting as a limitation. Some sites had lower seed set in hand pollinated  
1782 plants than open pollinated plants, indicating that hand pollinators may not have been  
1783 successful. Low success from hand pollination may have occurred for several reasons.  
1784 *Trifolium* plants are unable to self-pollinate, and it may have been possible that donor pollen  
1785 was selected from a vegetative reproduced clonal plant. In this case, self-incompatible  
1786 pollinations may clog stigmas, inhibit the ability for outcross pollen to create germination  
1787 tubes, and ultimately reduce plant fecundity (Galen et al 1989). Donor pollen may have failed  
1788 to properly attach to the stigma for germination, or the pollen may have been deposited at the  
1789 wrong time. All hand pollinations were done using pollen from a donor plant outside of 5 x  
1790 5m patch when flowers were fully open to limit these errors, but it is possible that these  
1791 scenarios still contributed to low success of hand pollination at some sites.

1792 Previous research suggested that excluding *B. balteatus* from flowering heads would  
1793 have no consistent impact on *T. dasyphyllum* pollination services, but should negatively  
1794 impact *T. parryi* in early flowering patches by limiting pollen deposit and subsequent seed  
1795 set (Geib and Galen 2012). Interestingly in 2013, excluding *B. balteatus* queens did not  
1796 reduce seed set in *T. parryi*. Either *Bombus* or non-*Bombus* copollinators contributed  
1797 significantly to seed set in the absence of *B. balteatus* queens. In the 2013 season, *B.*  
1798 *flavifrons* worker bees were most abundant, and estimates of nest numbers from individual  
1799 counts predict that this species had the greatest relative abundance in the *Bombus*  
1800 community. Prior research has found that although *B. balteatus* has the largest tongue size  
1801 average, thus its position as a primary pollinator for *T. parryi*, *B. flavifrons* has a range of  
1802 tongue size that overlaps with *B. balteatus*. Average tongue length for *B. balteatus* is 8.75mm

1803 – 13 mm (mean = 10.0 mm) and *B. flavifrons* has a range of 6.5mm = 13mm (mean =  
1804 8.25mm) (Macior 1996). This increasingly abundant low-alpine species may be contributing  
1805 to pollination services in the long-corolla clover, and may be the reason that no decrease in  
1806 fecundity was seen in the early 2013 flowering season.

1807       If *B. flavifrons* is not providing these services, then there are several other scenarios that  
1808 may explain the high fecundity. Other co-pollinators outside of the *Bombus* community may  
1809 also have established themselves as viable pollinators for *T. parryi*. Solitary bees and flies  
1810 may be contributing pollination services, even though past studies of the same species found  
1811 a co-pollinator effect only later in the season (Geib and Galen 2012). It is unknown how the  
1812 drought may have impacted co-pollinator abundance and/or phenology in 2013.

1813 **Table 1:** ANOVA for *Trifolium dasyphyllum* and *T. parryi* testing site (ridge, slope, log  
 1814 road) and treatment (hand pollinated, open pollinated, excluded from *B. balteatus*  
 1815 queens) effects on fruits/flower and seeds/flower (plant fecundity).

<i>T. dasyphyllum</i>	Source	DF	SS	F ratio	P Value
A - Fruits/Flower	Site	2	1.03	9.77	0.0002*
	Treatment	2	0.01	0.12	0.8889
	Site X Treatment	4	0.33	1.54	0.2016
	Error	65	3.44		
B- Seeds/Flower	Site	2	1.17	9.97	0.0002*
	Treatment	2	0.02	0.16	0.8494
	Site X Treatment	4	0.37	1.56	0.1953
	Error	65	3.80		
<i>T. parryi</i>	Source	DF	SS	F ratio	P Value
A - Fruits/Flower	Site	2	0.33	3.50	0.0359*
	Treatment	2	0.01	0.12	0.8896
	Site X Treatment	4	0.19	1.01	0.4062
	Error	67	3.14		
B- Seeds/Flower	Site	2	0.38	3.60	0.0329*
	Treatment	2	0.01	0.08	0.9253
	Site X Treatment	4	0.22	1.02	0.4031
	Error	67	3.56		

1816

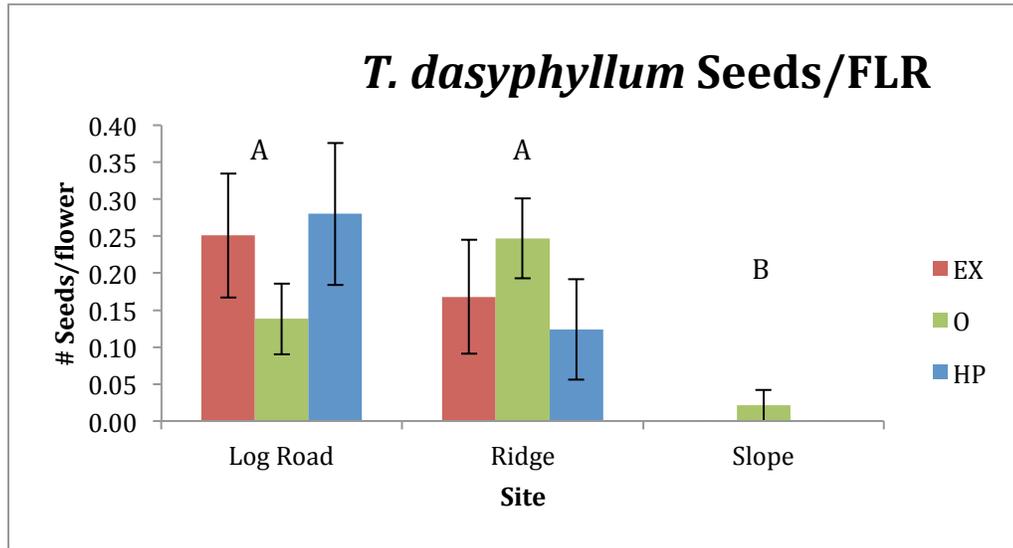
1817

### Figure Legends

1818 **Figure 1:** Number of *T. dasyphyllum* seeds produced per flower under three treatments:  
1819 excluded from *B. balteatus* queens (EX), open pollinated (O), and hand pollinated (HP). Bars  
1820 are site means with standard error.

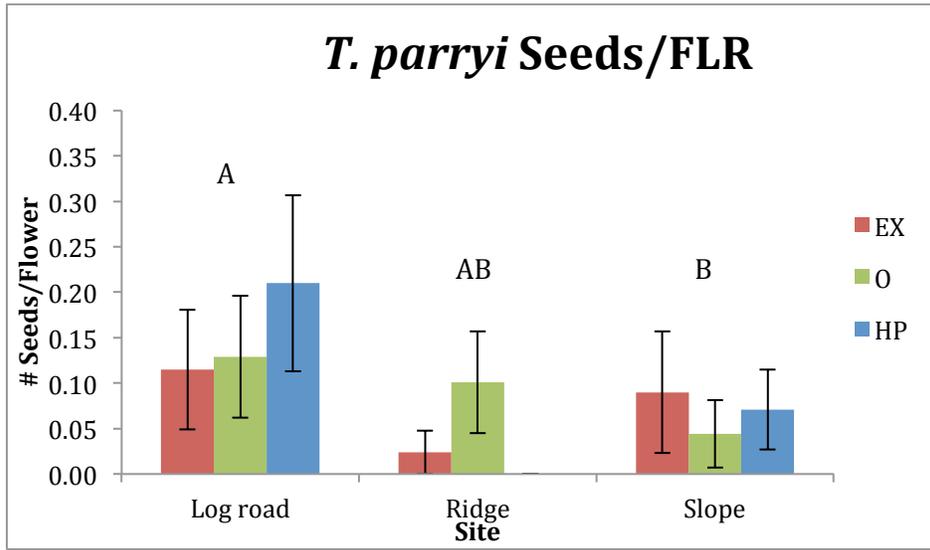
1821 **Figure 2:** Number of *T. parryi* seeds produced per flower under three treatments: excluded  
1822 from *B. balteatus* queens (EX), open pollinated (O), and hand pollinated (HP). Bars are site  
1823 means with standard error.

1824 **Figure 1.**



1825

1826 **Figure 2.**



1827

## **Vita**

1828

1829

1830 Leigh Rimmer went to Appalachian State University where she received her Bachelor  
1831 of Science degree in Environmental Biology and Ecology. She began a MS program at ASU  
1832 in 2012 and completed it in 2015. She lives in Washington, D.C. and works for the  
1833 Environmental Protection Agency.